

# New tools to estimate water availability at ungaged sites

Stacey Archfield, Ph.D.

Research Hydrologist

Massachusetts-Rhode Island  
Water Science Center

USGS Texas Water Science Center

Technical Talk

Austin, TX

February 15, 2011



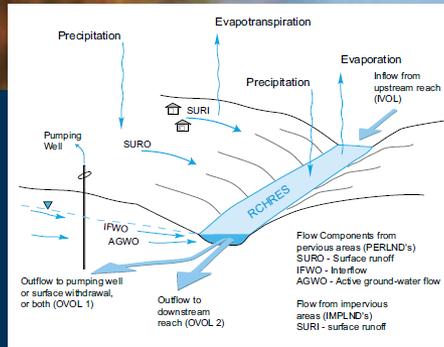
*The Susquehanna River looking east, from City Island in Harrisburg, PA*

# The need for streamflow time series

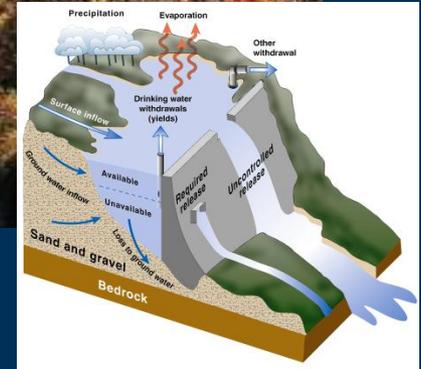
Streamflow time series are essential information for many types of analyses



**Water quality monitoring and modeling**



**Water quantity modeling**



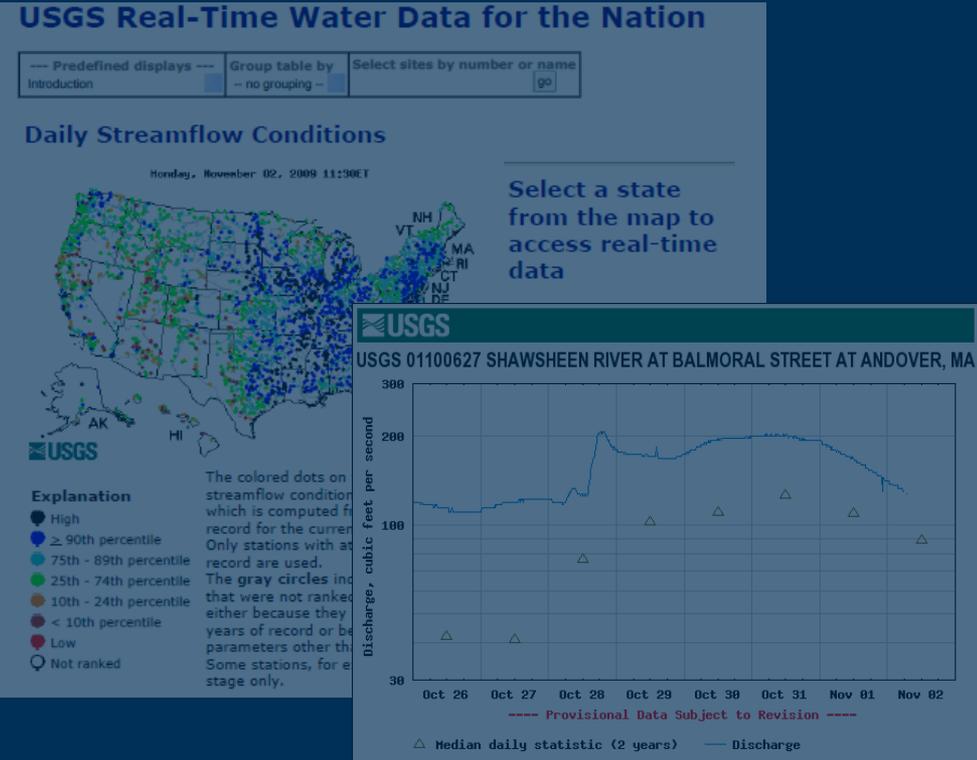
**Water management decisions**



# Obtaining streamflow information



<http://waterdata.usgs.gov/nwis>



The need to estimate streamflow at un-gauged catchments is so important that the International Association of Hydrological Sciences has undertaken a 10-year initiative (2003-2012) termed Predictions in Ungauged Basins (PUB) to develop new methods and insights into this issue.

*(<http://pub.iwmi.org/UI/Content/Default.aspx?PGID=0>)*



# The USGS and flow estimation at unengaged catchments

- The USGS is a leader in estimating and serving streamflow information at unengaged catchments across the United States

**USGS**  
science for a changing world

## StreamStats: A Water Resources Web Application

by Kenneth E. Neal III, John S. Gutrich, Mei H. Fan, Peter A. Stover, and David W. Stewart

**Introduction**

Streamflow statistics, such as the 1-percent flood, the mean flow, and the 7-day average flow, are essential to engineers, land managers, biologists, and many others to help guide decisions in their everyday work. For example, estimates of the 1-percent flood (the flow that is exceeded, on average, once in 100 years) and the 1-percent chance of being exceeded in any day, sometimes referred to as the 100-year flood, are used to create floodplain maps that form the basis for setting insurance rates and building zoning. The soil and streamflow statistics also are used for dam, bridge, and culvert design, watershed planning and management, water use applications, and permitting assessment and sediment discharge permits. Hydrologist facility design and regulation, and the setting of minimum required streamflows to protect freshwater ecosystems, in addition, researchers, planners, regulators, and others often need to know the physical and chemical characteristics of the drainage basins (basin characteristics) and the influence of human activities, such as dams and water withdrawals, on streamflow upstream from locations of interest. To understand the characteristics that control water availability and quality at these locations, knowledge of the streamflow network, and downstream human activities also is necessary to adequately determine whether an upstream activity, such as a water

Figure 5. View of the StreamStats user interface for Massachusetts.

U.S. Department of the Interior  
U.S. Geological Survey

**NHDPlus**

A National Geospatial Surfacewater Framework

(<http://www.epa.gov/waters>)

NHDPlus is a suite of application-ready geospatial products that build upon and extend the capabilities of the National Hydrography Dataset (NHD) by integrating it with the National Elevation Dataset and National Watershed Boundary Dataset. NHDPlus provides:

- Enhanced NHD Network & Names**  
Updated network relationships enable robust upstream/downstream navigation. Additional hydrographic feature names enable improved map labeling, query-by-name, and linking of water quality data.
- Value-Added Attributes**  
Fourteen different Value-Added Attributes, including stream order, are derived from the underlying NHD and enable advanced query, analysis and display functionality.
- Catchments With Attributes**  
Incremental and cumulative drainage areas for each stream segment in the NHD network enable analysis of associated landscape characteristics, including temperature, precipitation and land cover.
- Flow Direction & Accumulation Grids**  
Flow direction and accumulation grids associate the land surface (topography) with the NHD network, enabling landscape analysis and characterization.
- Flow Volume and Velocity Estimates**  
Mean annual stream flow volume and velocity for each stream segment in the NHD network enable time-of-travel and pollutant dilution modeling.

[ftp://ftp.horizon-systems.com/NHDPlus/documentation/nhdplus\\_poster.pdf](ftp://ftp.horizon-systems.com/NHDPlus/documentation/nhdplus_poster.pdf)

<http://water.usgs.gov/osw/streamstats/ssonline.html>

# Recent motivations for *daily* streamflow time series

## The Natural Flow Regime

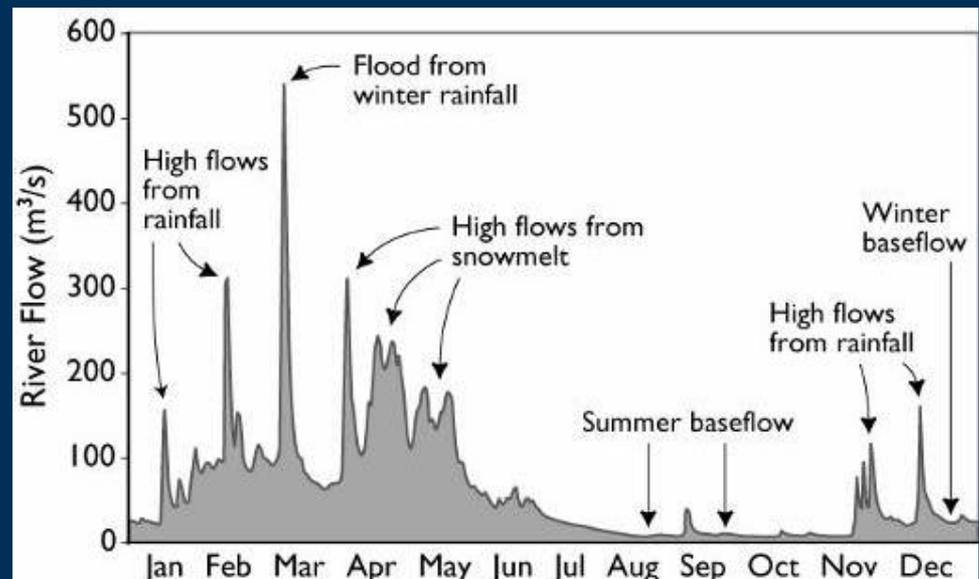
Published in: *Bioscience*, December 1997

*A paradigm for river conservation and restoration*

N. LeRoy Poff, J. David Allan, Mark B. Bain, James R. Karr, Karen L. Prestegard,  
Brian D. Richter, Richard E. Sparks, and Julie C. Stromberg

The “Natural Flow Regime” is comprised of five characteristics:

1. Magnitude
2. Duration
3. Timing
4. Frequency
5. Rate of change



Richter et al. 1996, “A Method for Assessing Hydrologic Alteration Within Ecosystems.” (*Conservation Biology*)

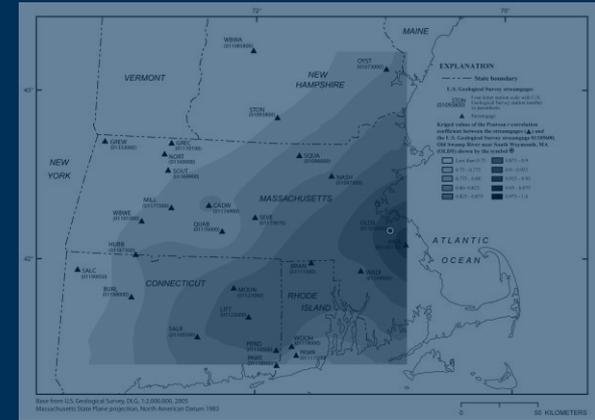


# Topics for discussion

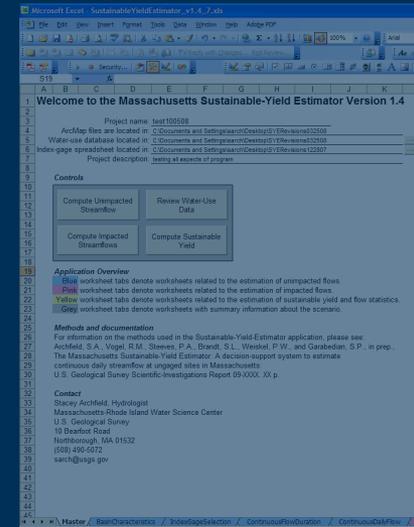
1. Existing methods to estimate daily streamflow time series at ungaged catchments

$$Qu_t = \frac{Au}{Ag} Qg_t$$

2. The Map Correlation method: An enhancement to existing methods



3. Integration with a water-availability decision-support tool and other applications



# Existing methods to estimate streamflow time series using a reference catchment

Drainage-area ratio

$$Qu_t = \frac{Au}{Ag} Qg_t$$

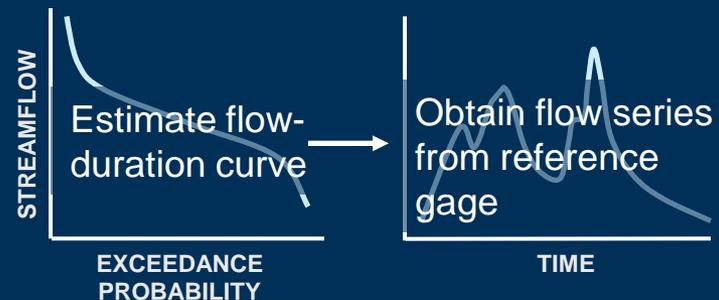
Scaling by the at-site mean and variance

*(Hirsch, 1979)*

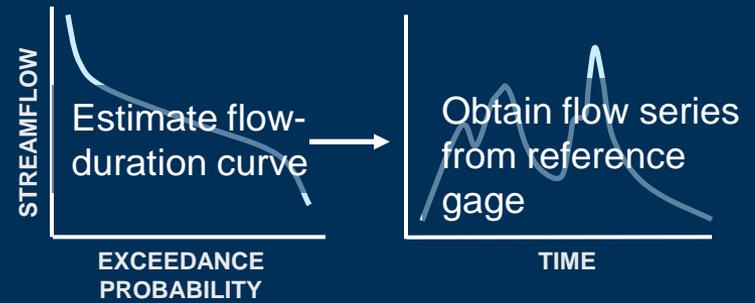
$$Qu_t = \hat{\mu}_u + \hat{\sigma}_u \left( \frac{Qg_t - \hat{\mu}_g}{\hat{\sigma}_g} \right)$$

Non-linear spatial interpolation

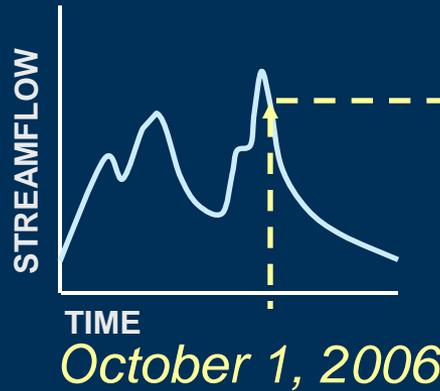
*(Fennessey, 1994; Smakhtin, 1999; Smakhtin et al. 1997, Mohamoud, 2008; Archfield and others, 2010)*



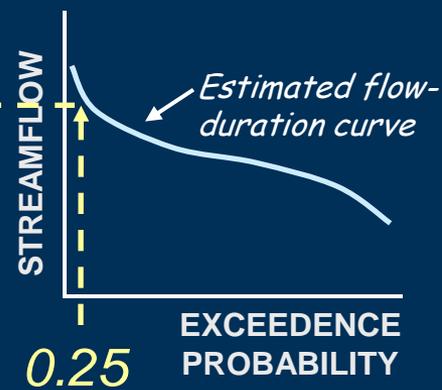
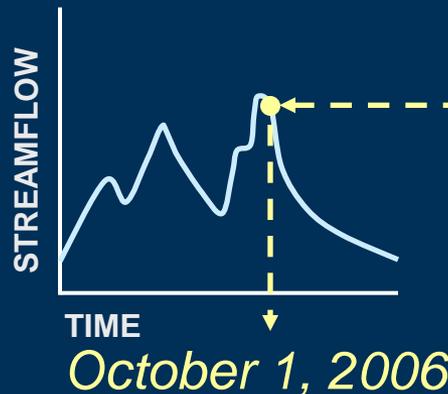
# Non-linear spatial interpolation method (continued)



**REFERENCE  
GAGE:**



**UNGAGED  
CATCHMENT:**



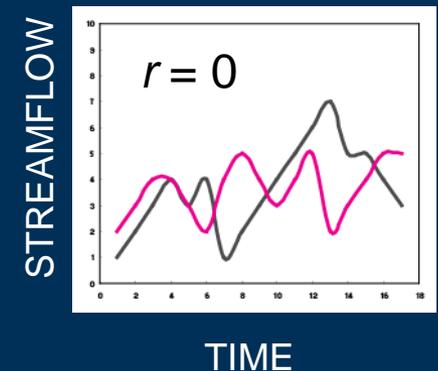
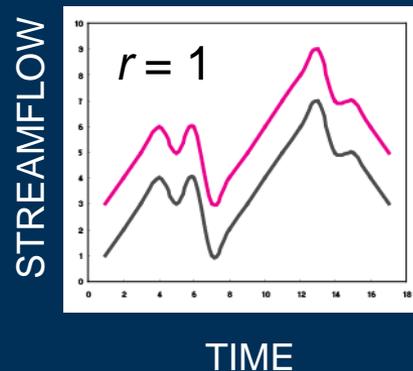
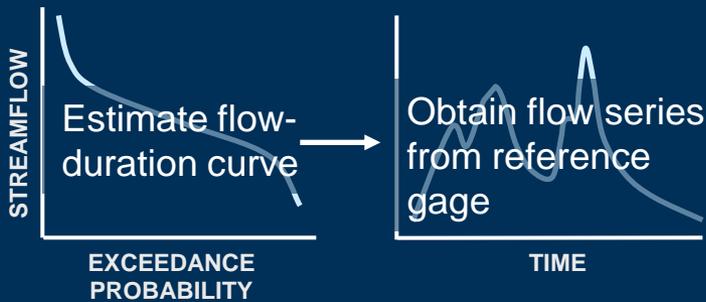
# Existing methods to estimate streamflow time series using a reference gage

$$Qu_t = \frac{Au}{Ag} Qg_t$$

$$Qu_t = \hat{\mu}_u + \hat{\sigma}_u \left( \frac{Qg_t - \hat{\mu}_g}{\hat{\sigma}_g} \right)$$

In practice, the nearest gage is used as the reference gage.

If even a few streamflow data points are known at the catchment of interest, one would use the correlation between the streamflow values.



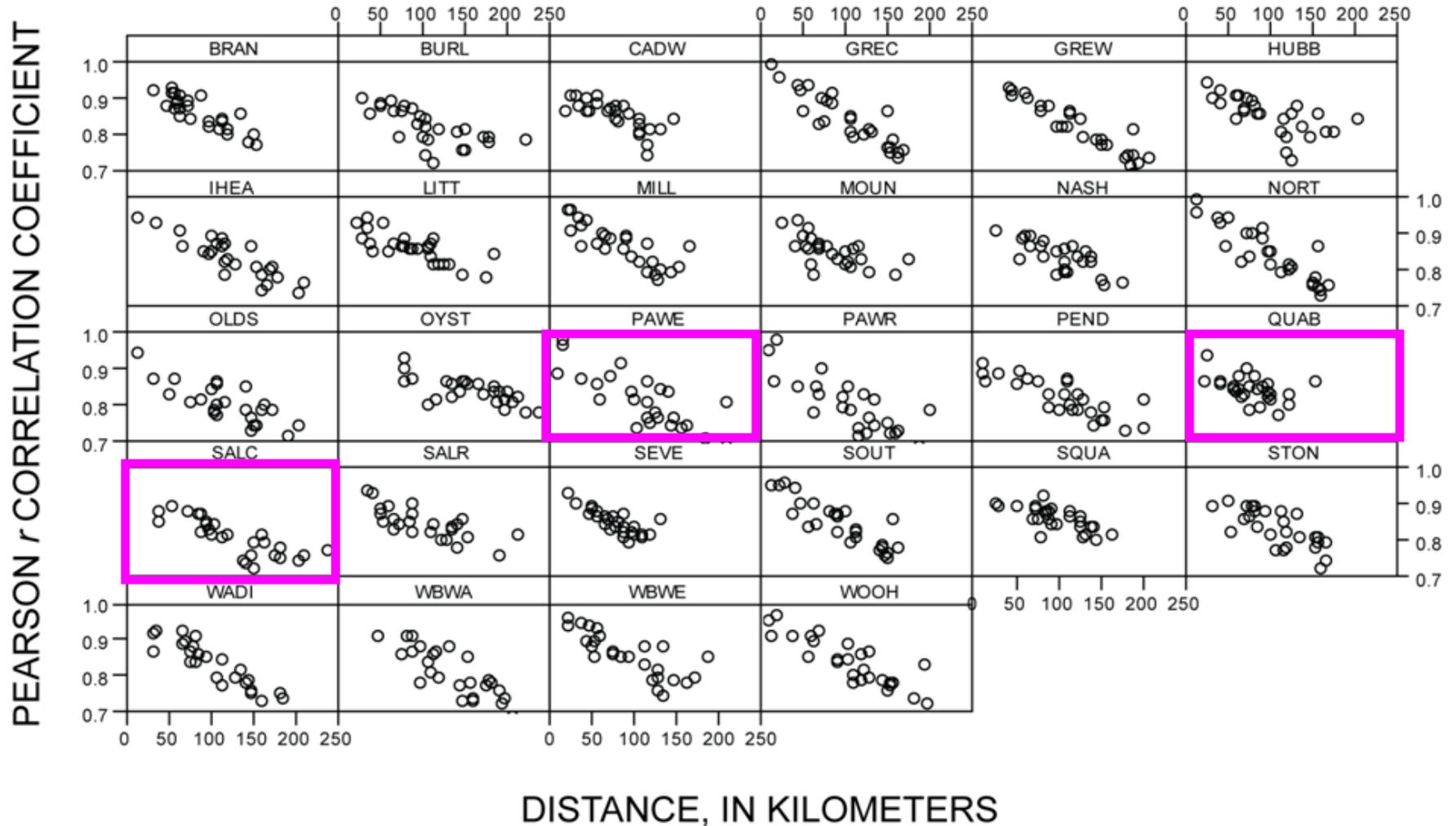
# Relation between distance and correlation



Streamflow values have a 30-year period of record extending from October 1, 1967 through September 30, 1997.

No major water withdrawals, discharges or return flows in the basin and the predominant land cover is forest.

# Relation between distance and correlation

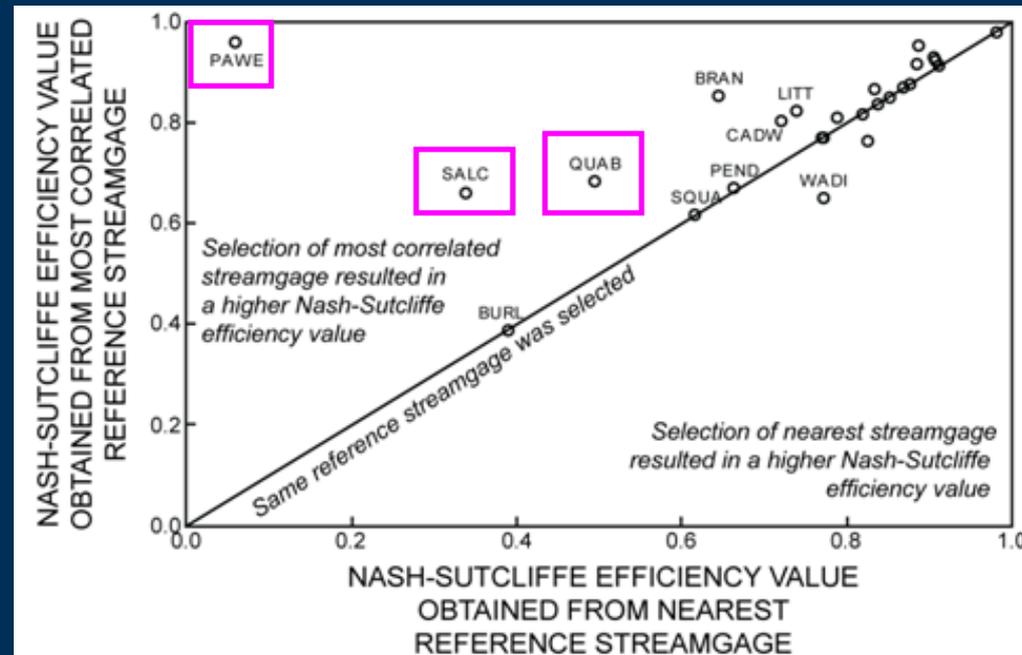
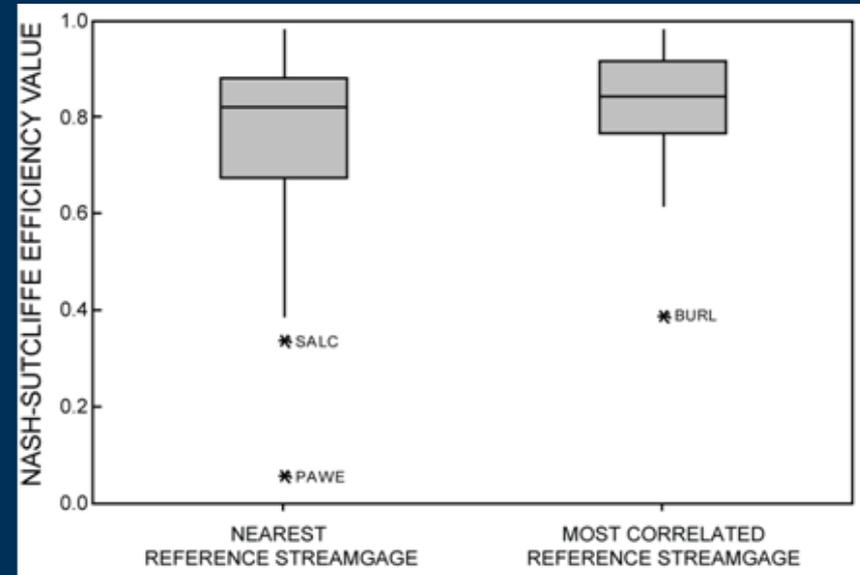


# Selecting a reference catchment: Distance versus correlation

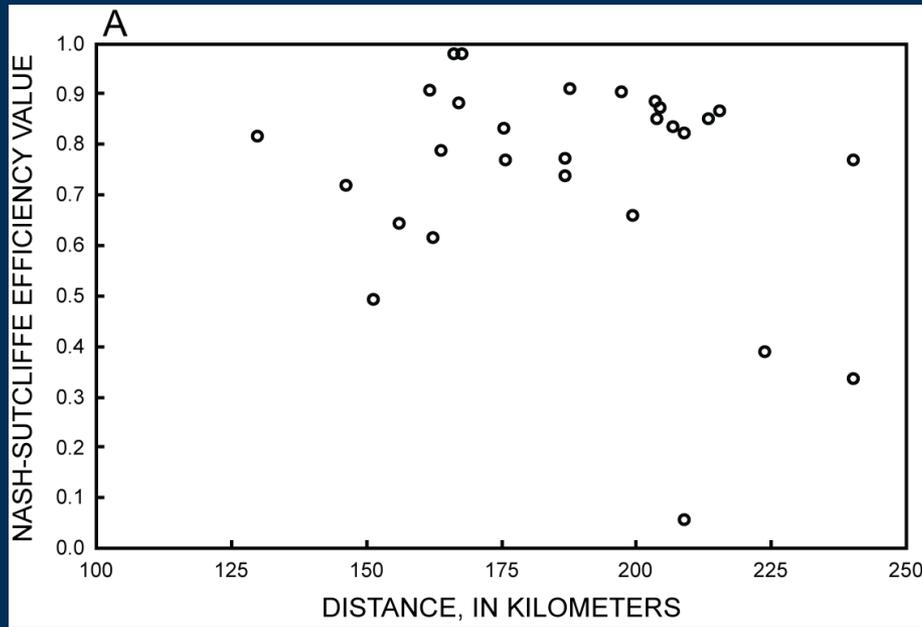


$$Qu_t = \frac{Au}{Ag} Qg_t$$

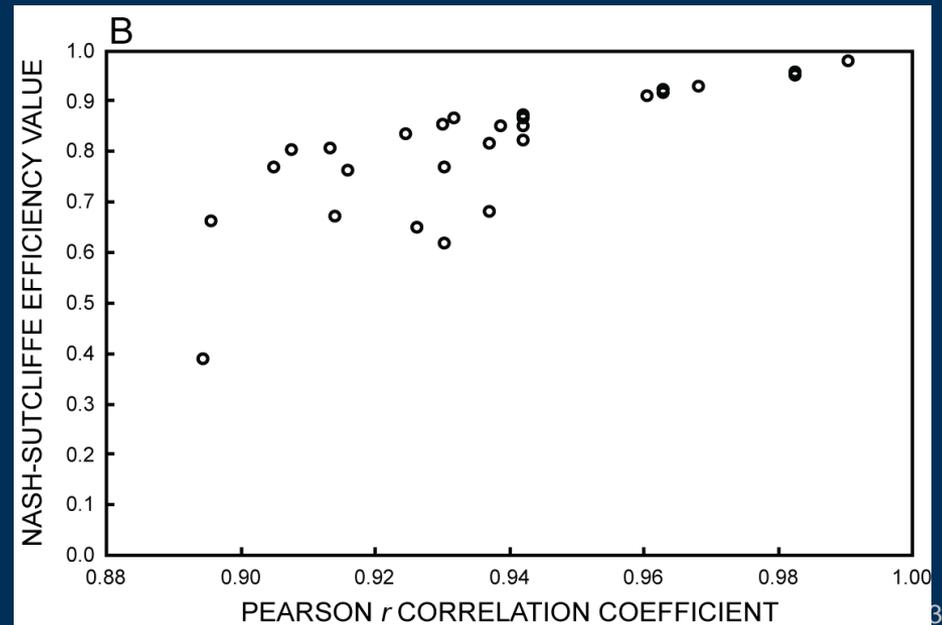
We applied the drainage-area ratio at each study streamgauge using the nearest and most-correlated streamgauge



# Relation of goodness of fit to correlation

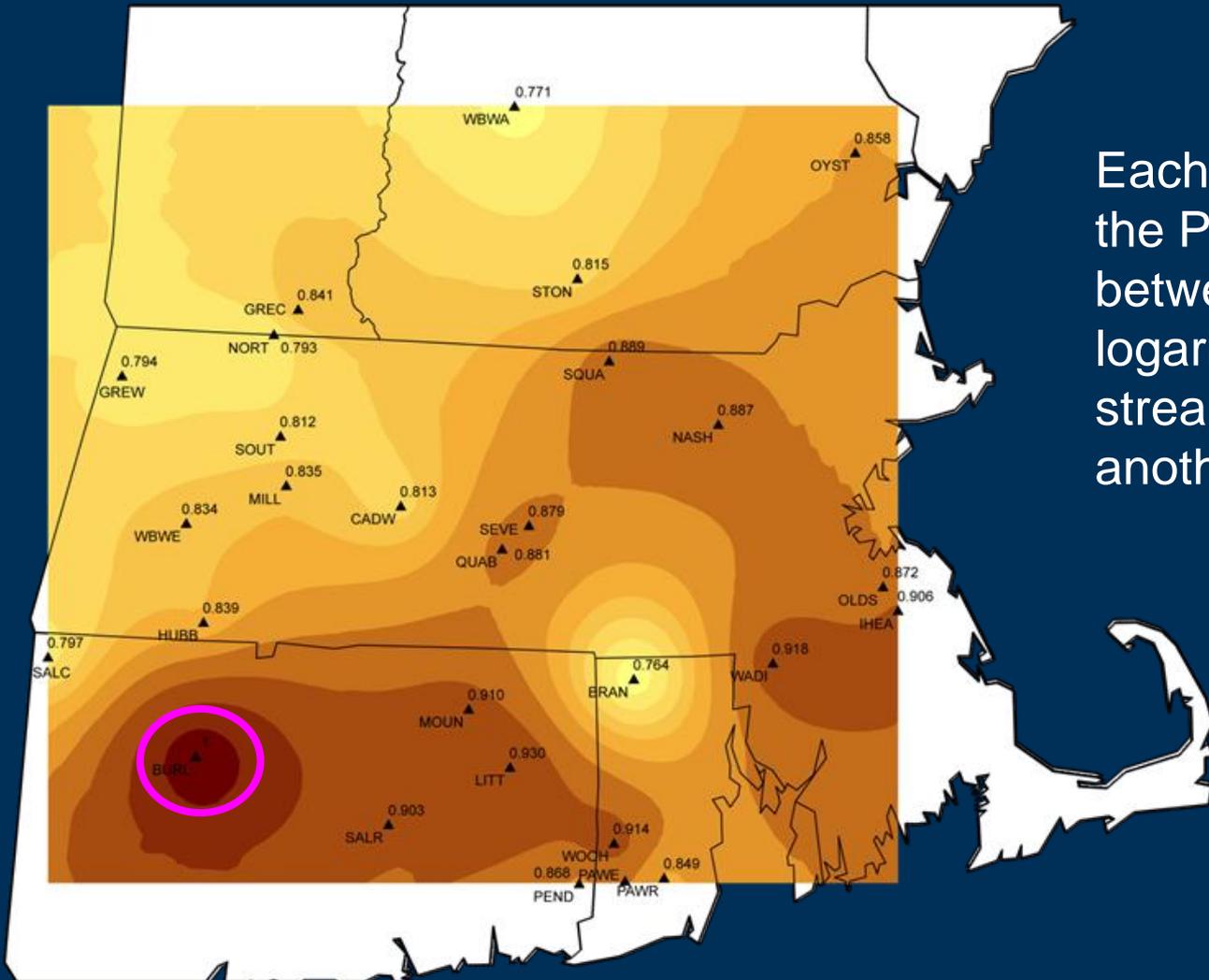


Correlation can also provide an uncertainty measure for the estimated streamflows; distance does not.





# The Map-Correlation Method



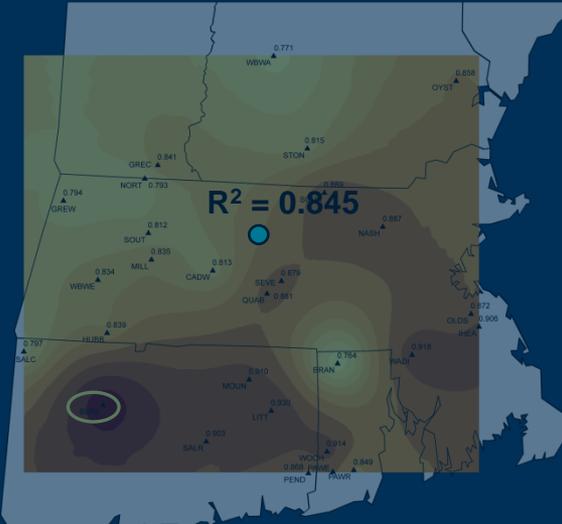
Each point on the map is the Pearson  $r$  correlation between the natural logarithms of the streamflows at BURL and another gage.



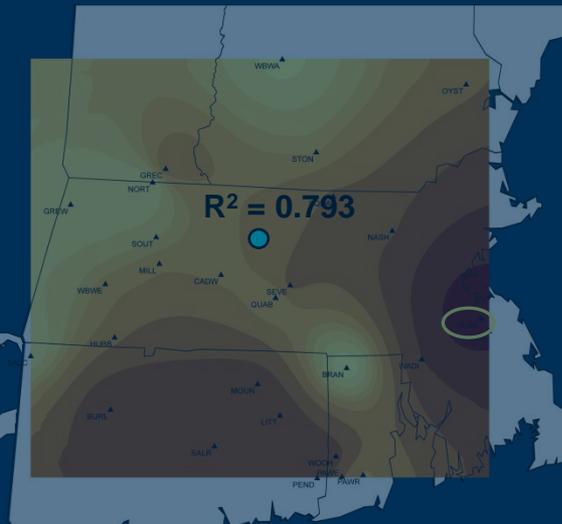
# Selection of an index-streamflow gage -- *continued*

We can obtain estimates of correlation between our ungauged site and each of the index-streamflow gages in the study area.

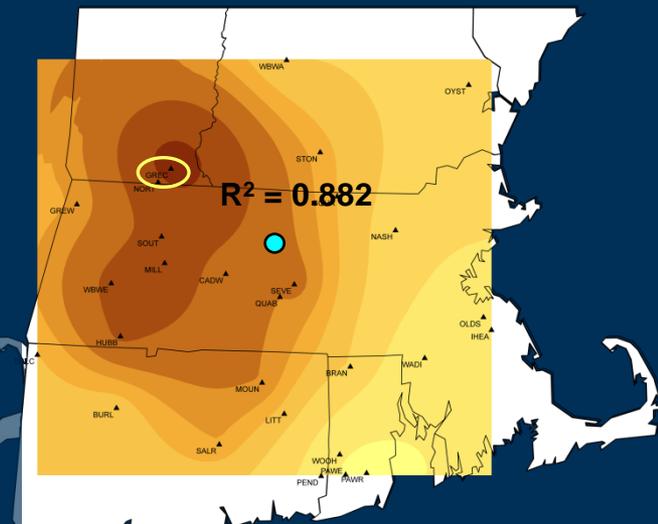
Estimated  $R^2$  between ungauged site and the BURL gage = 0.845



Estimated  $R^2$  between ungauged site and the OLDS gage = 0.793

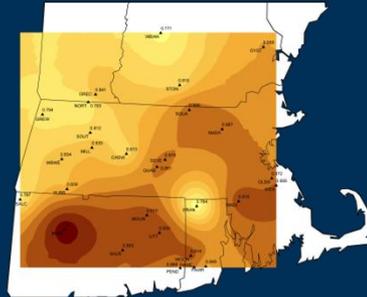


Estimated  $R^2$  between ungauged site and the GREC gage = 0.882



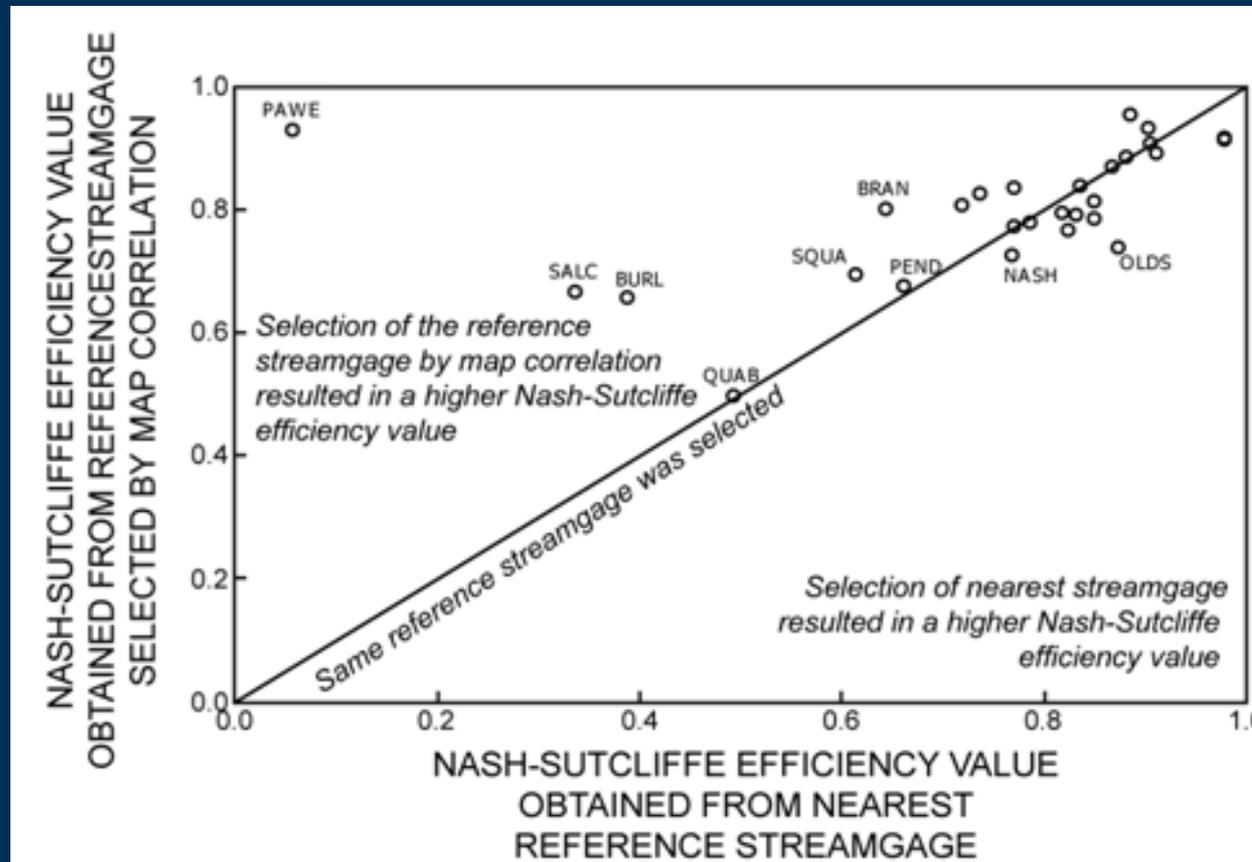
We will use the GREC gage to transform the flow-duration curve to a time series of flows.

# How well does it work?

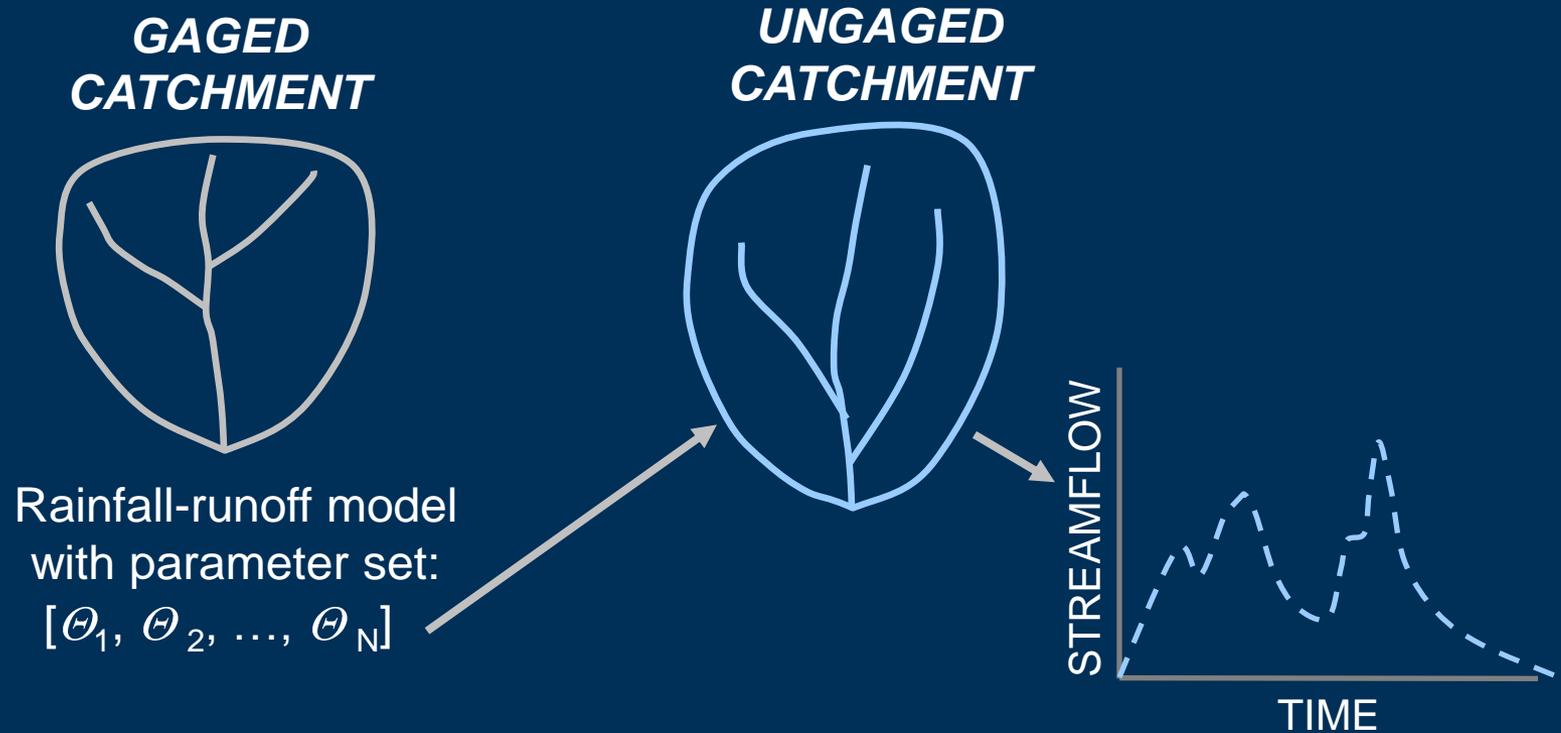


&

$$Qu_t = \frac{Au}{Ag} Qg_t$$

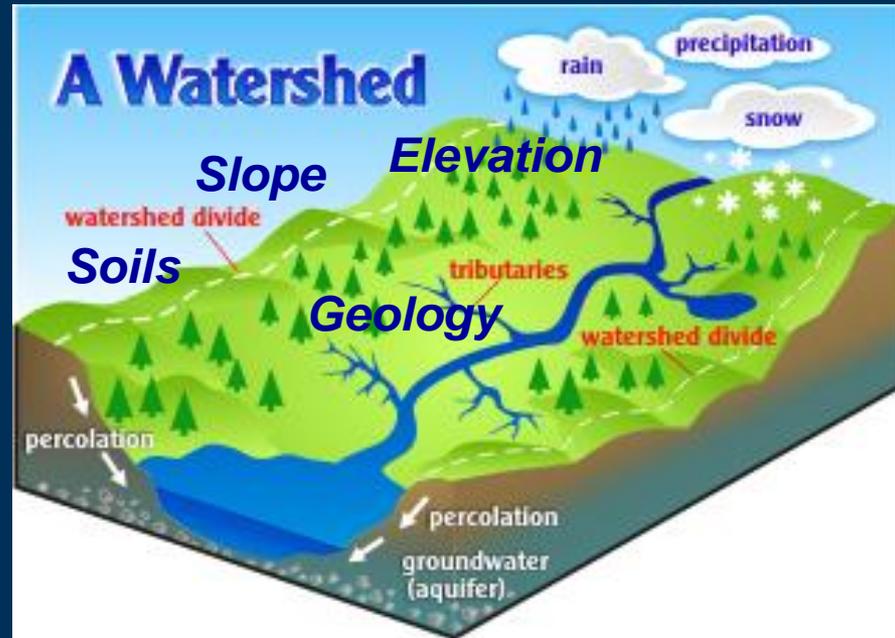


# Extension to other existing methods: Regionalization of model catchment parameters

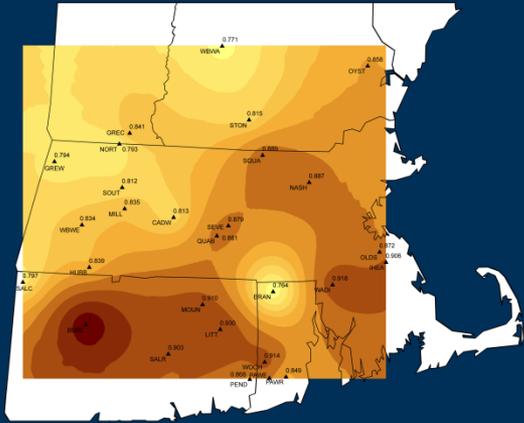


# Hydrologic similarity

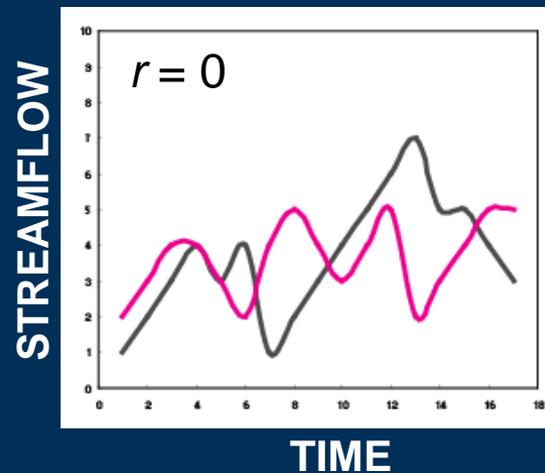
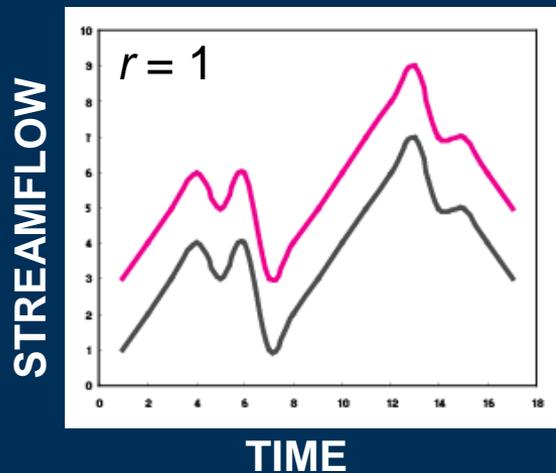
- As a proxy for hydrologic similarity, the literature has explored the use of the closest catchment or a catchment with similar physical properties (area, geology, etc.) as criteria to select the donor catchment
  - However, these approaches have been met with inconsistent success



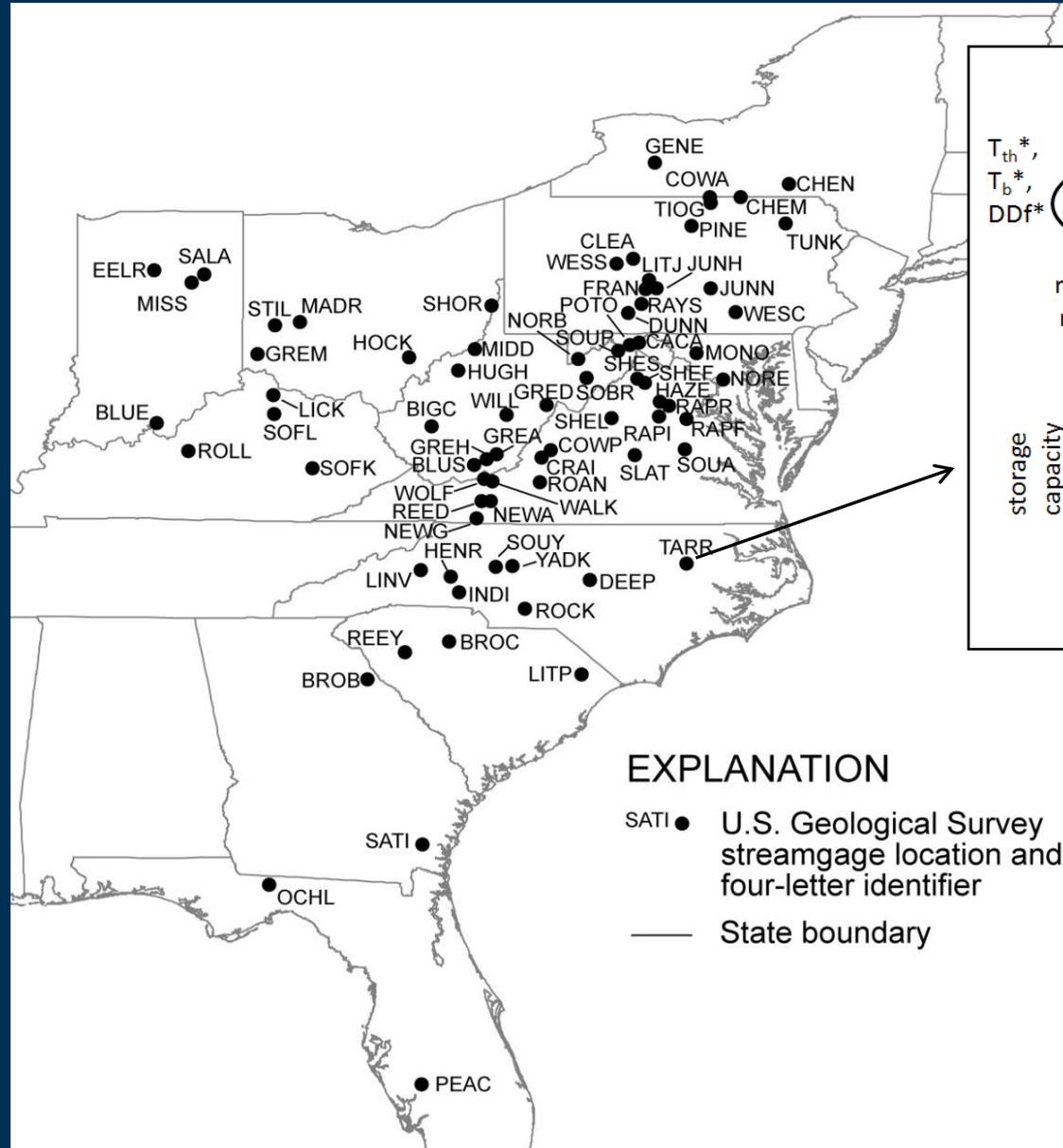
# Correlation as a criterion to select a donor catchment



We hypothesize that the correlation in daily streamflow between two catchments would be an improved metric of hydrologic similarity



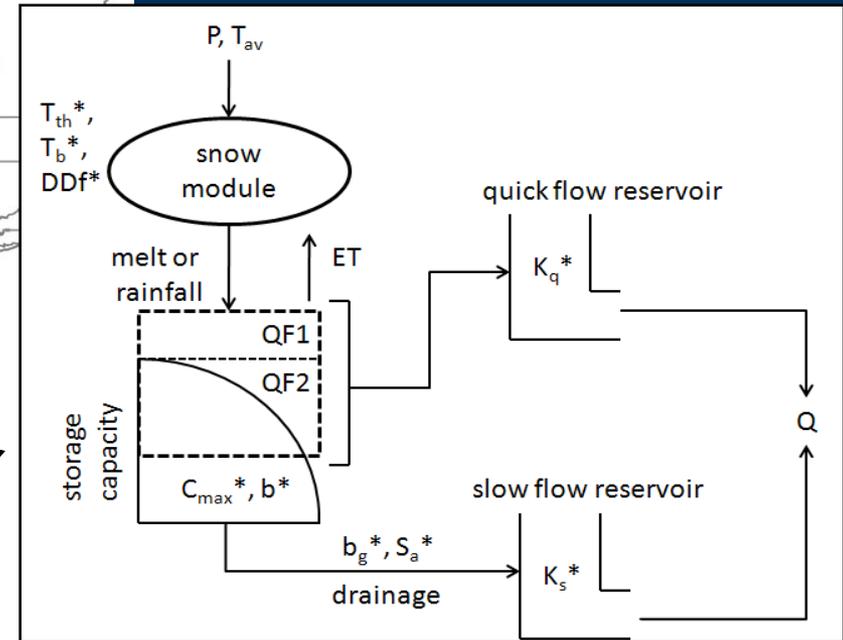
# A case study in the mid-Atlantic U.S.



## EXPLANATION

SATI ● U.S. Geological Survey streamgauge location and four-letter identifier

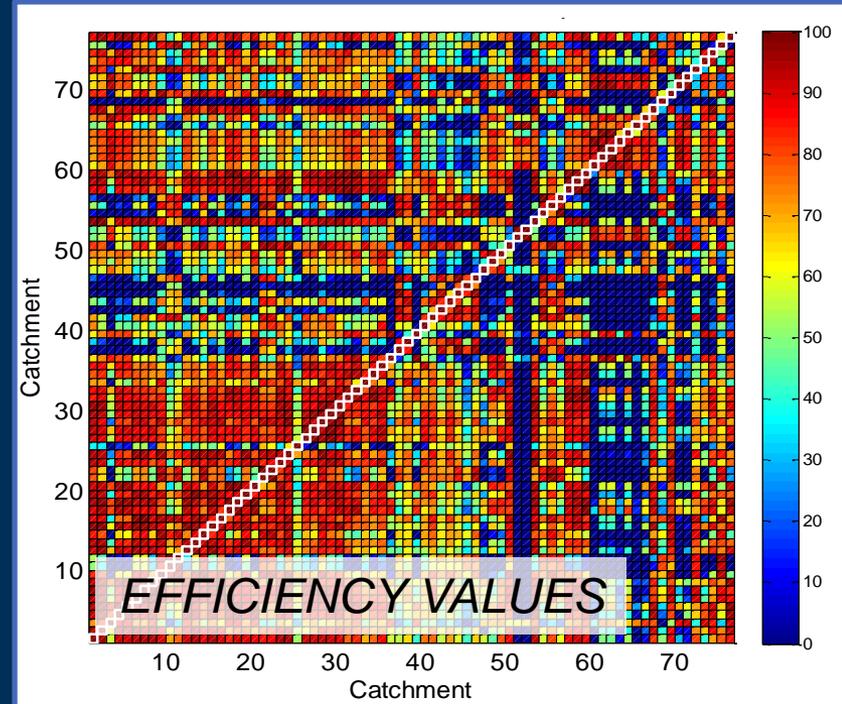
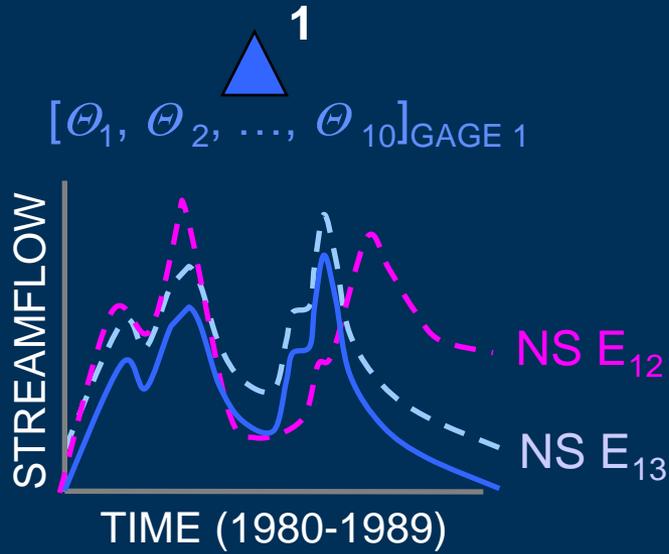
— State boundary



- Model has 10 parameters
- Calibrated using the SCE-UA Algorithm with fixed ranges on the parameters values
- Model simulates daily streamflow from 1980-1989

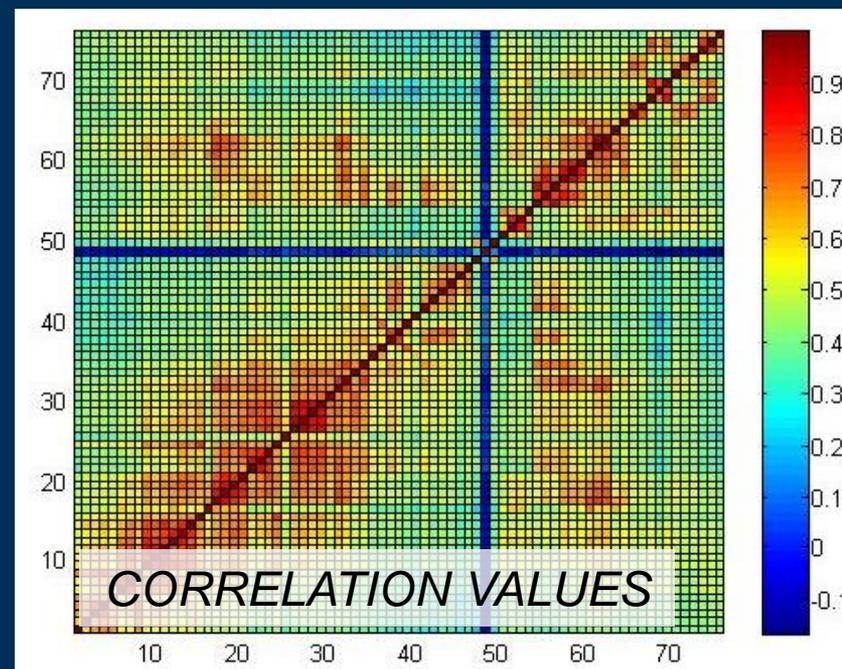
From Archfield, Singh, Wagener and Vogel, in review

# The experiment and results

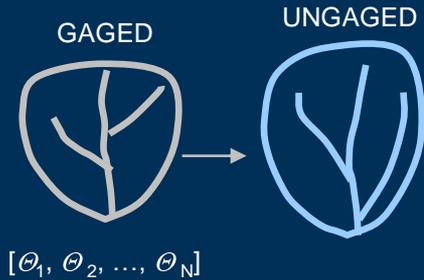


For GAUGE 1:

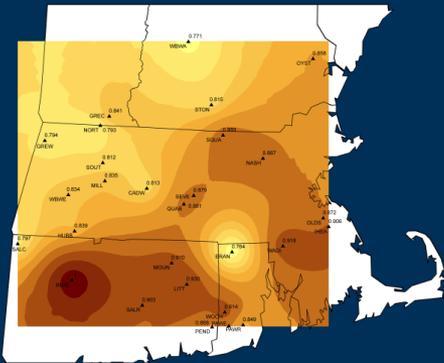
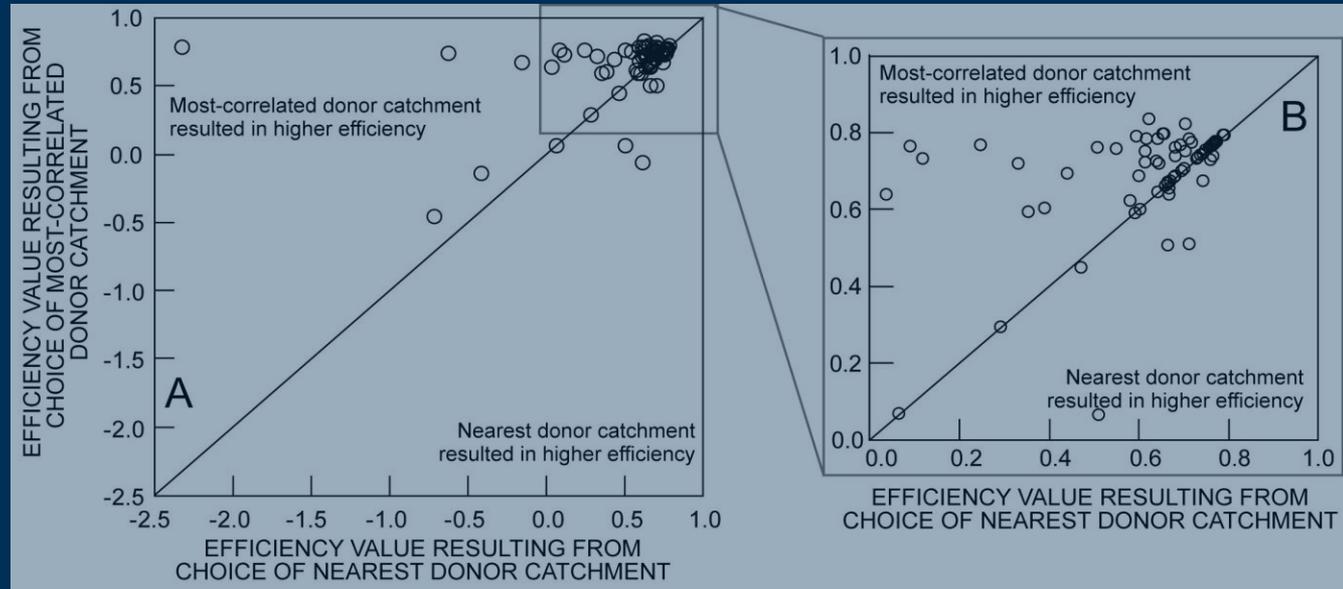
Donor gauge	Nash Sutcliffe Efficiency (NS E)	Correlation
2	NS E <sub>12</sub>	$r_{12}$
3	NS E <sub>13</sub>	$r_{13}$



# Use of correlation for parameter transfer



&

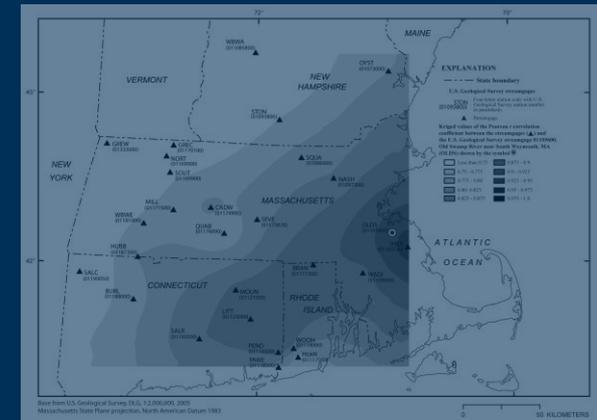


# Topics for discussion

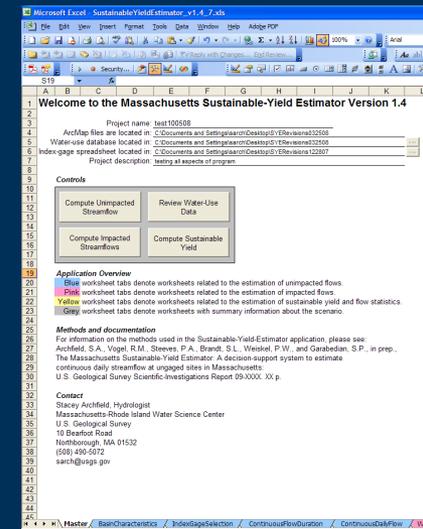
1. Existing methods to estimate daily streamflow time series at ungaged catchments

$$Qu_t = \frac{Au}{Ag} Qg_t$$

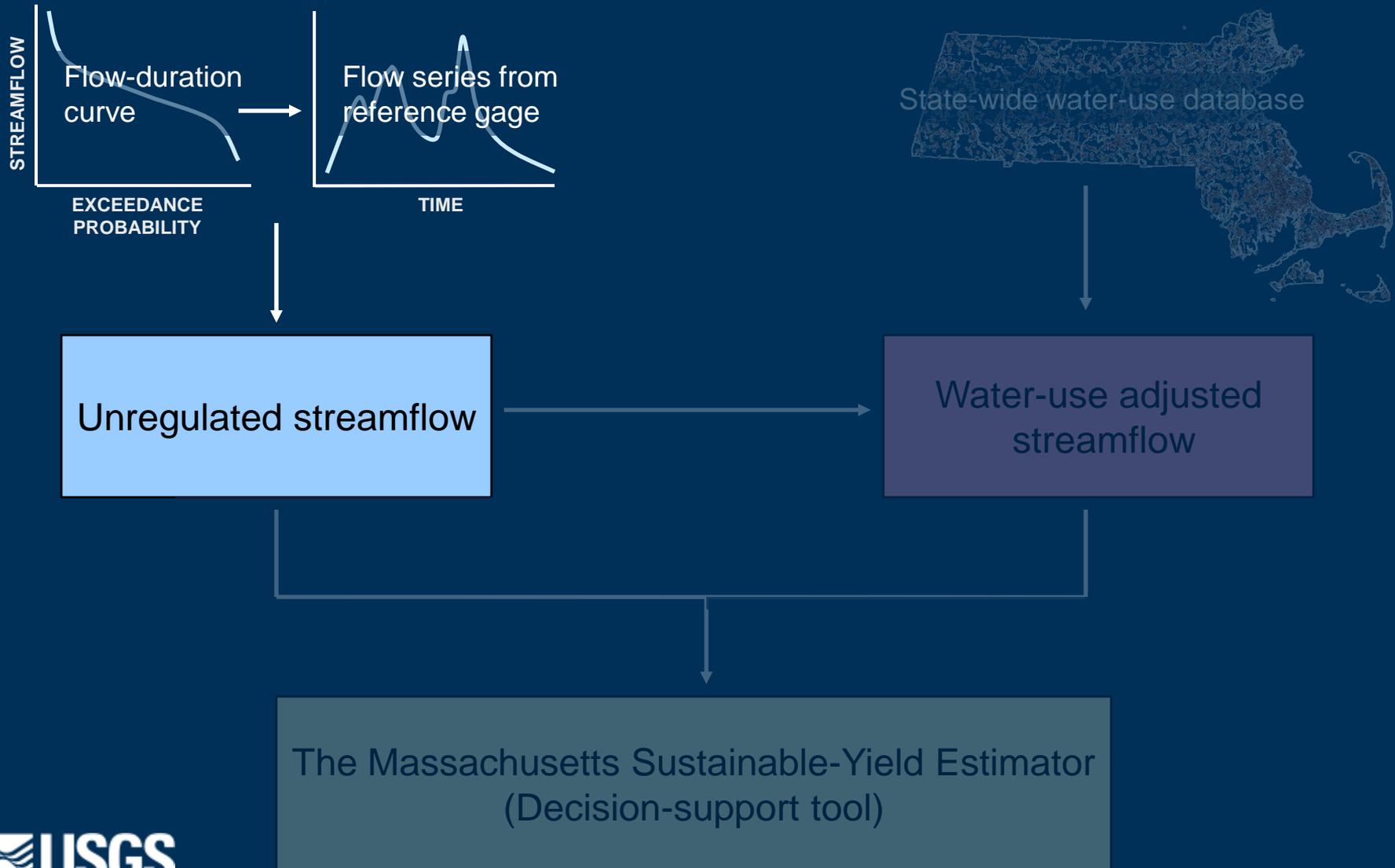
2. The Map Correlation method: An enhancement to existing methods



3. Integration with a water-availability decision-support tool and other applications



# The Massachusetts Sustainable-Yield Estimator

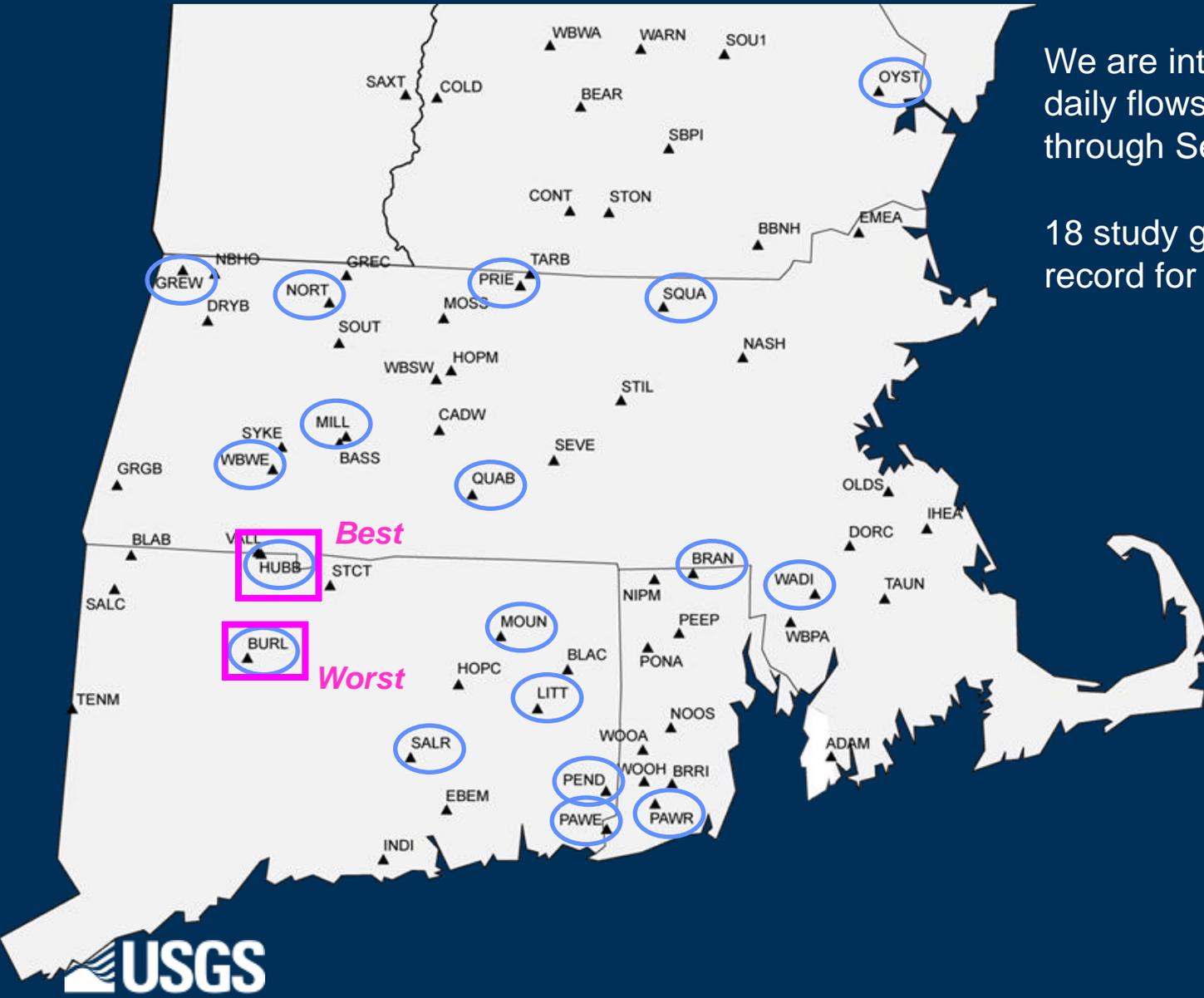




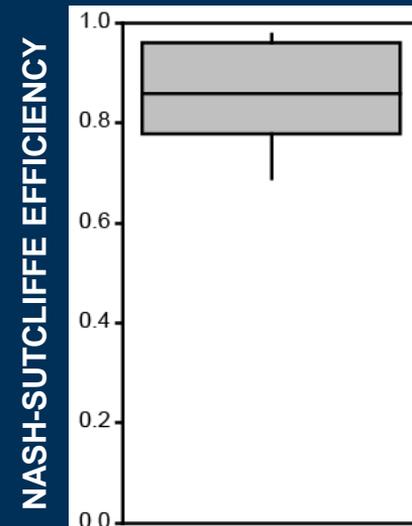
# Cross-validation of results

We are interested in estimating daily flows from October 1, 1960 through September 30, 2004.

18 study gages had observed record for this period.

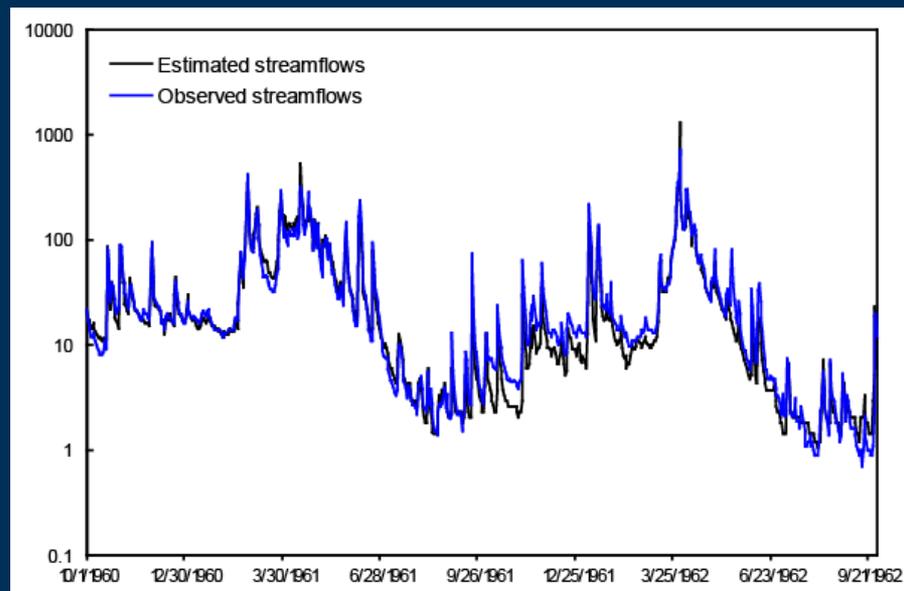
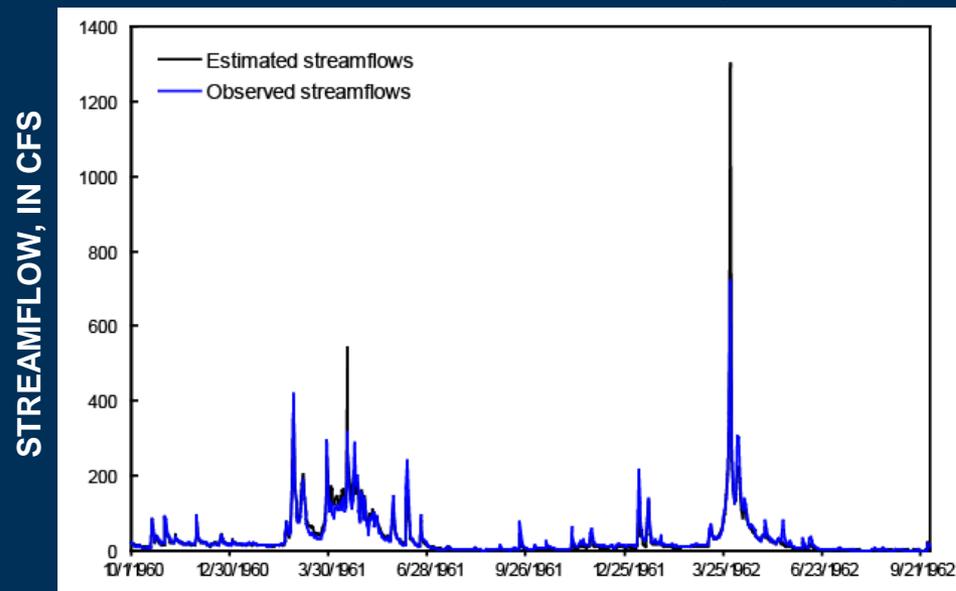


## ALL RESULTS

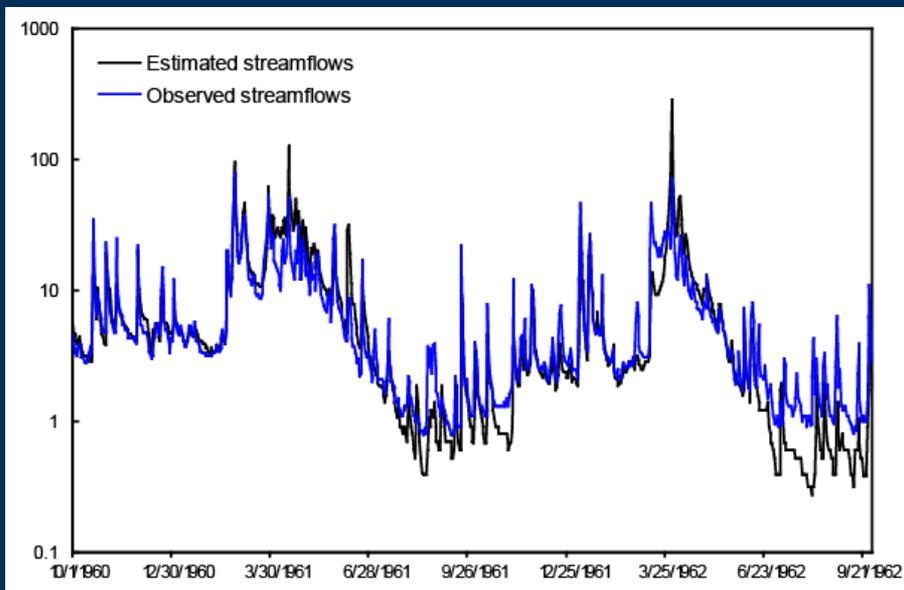
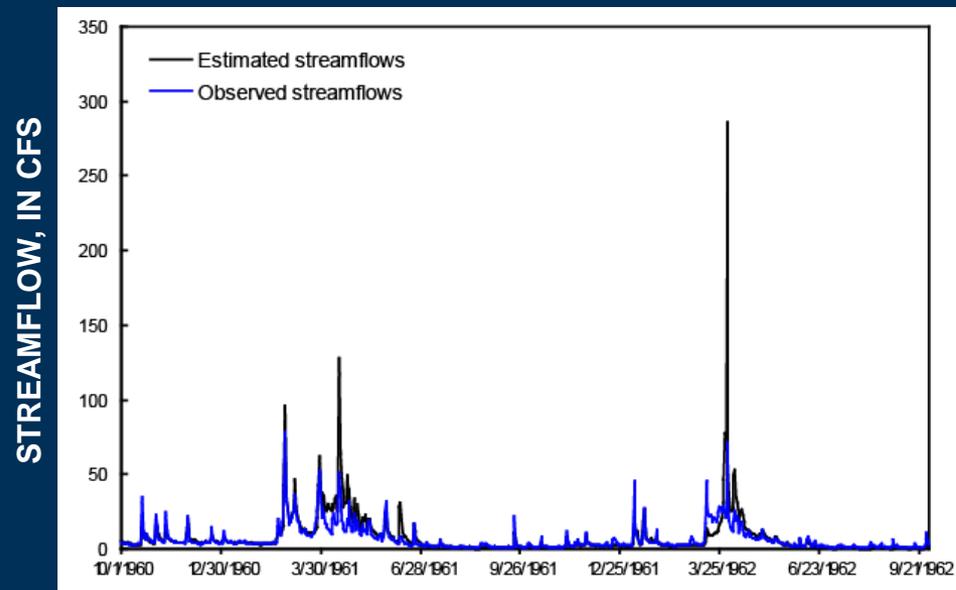


# Observed and estimated streamflow (best and worst)

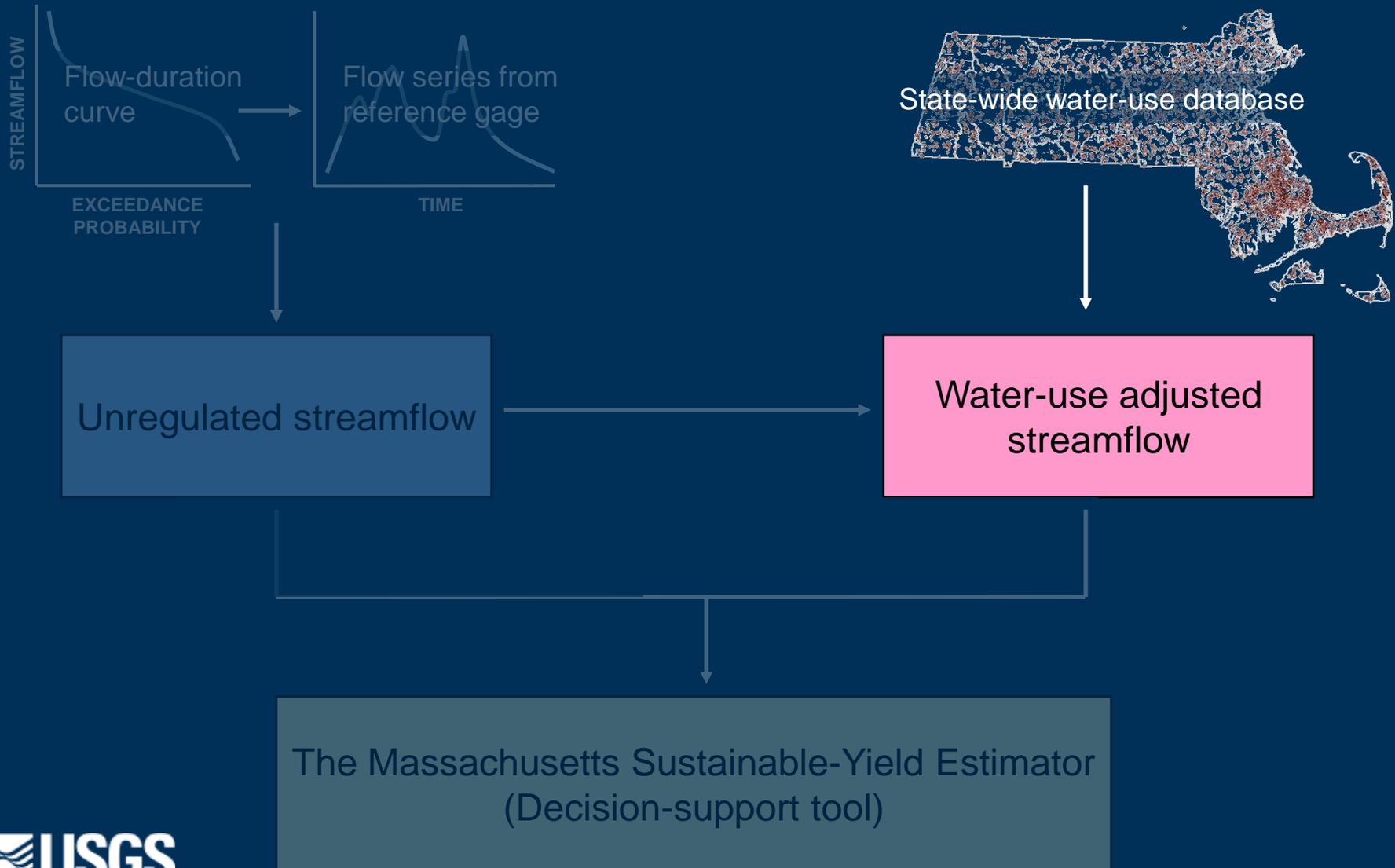
*Hubbard River near West Hartland, CT (Best case)*



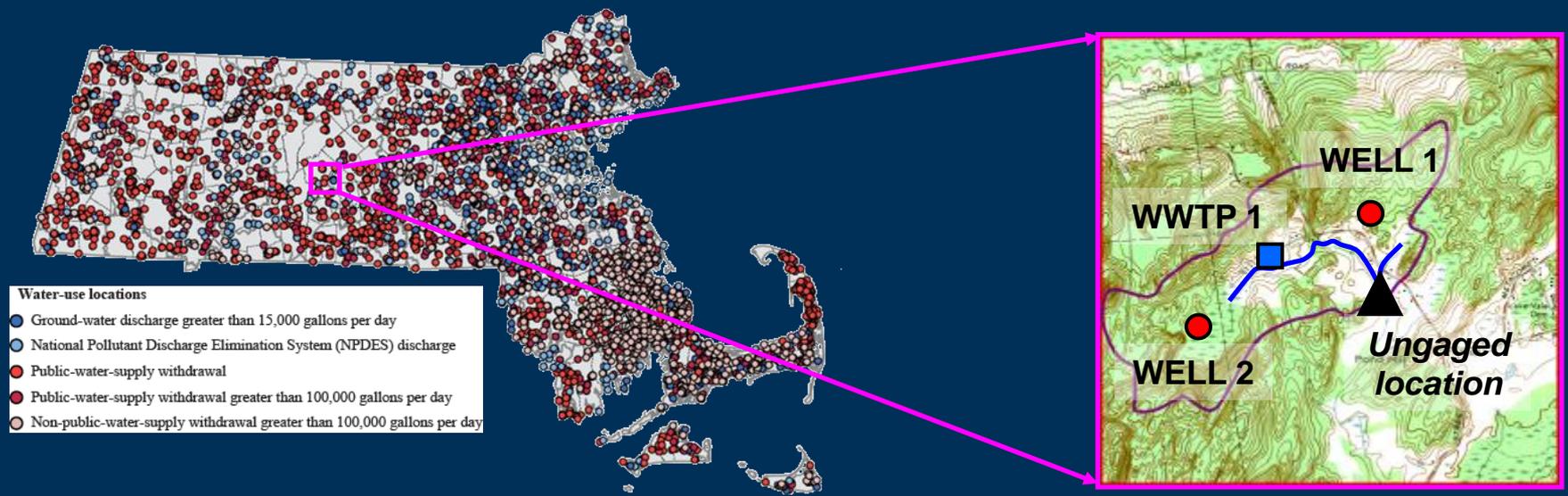
*Burlington Brook near Burlington, CT (Worst case)*



# The Massachusetts Sustainable-Yield Estimator



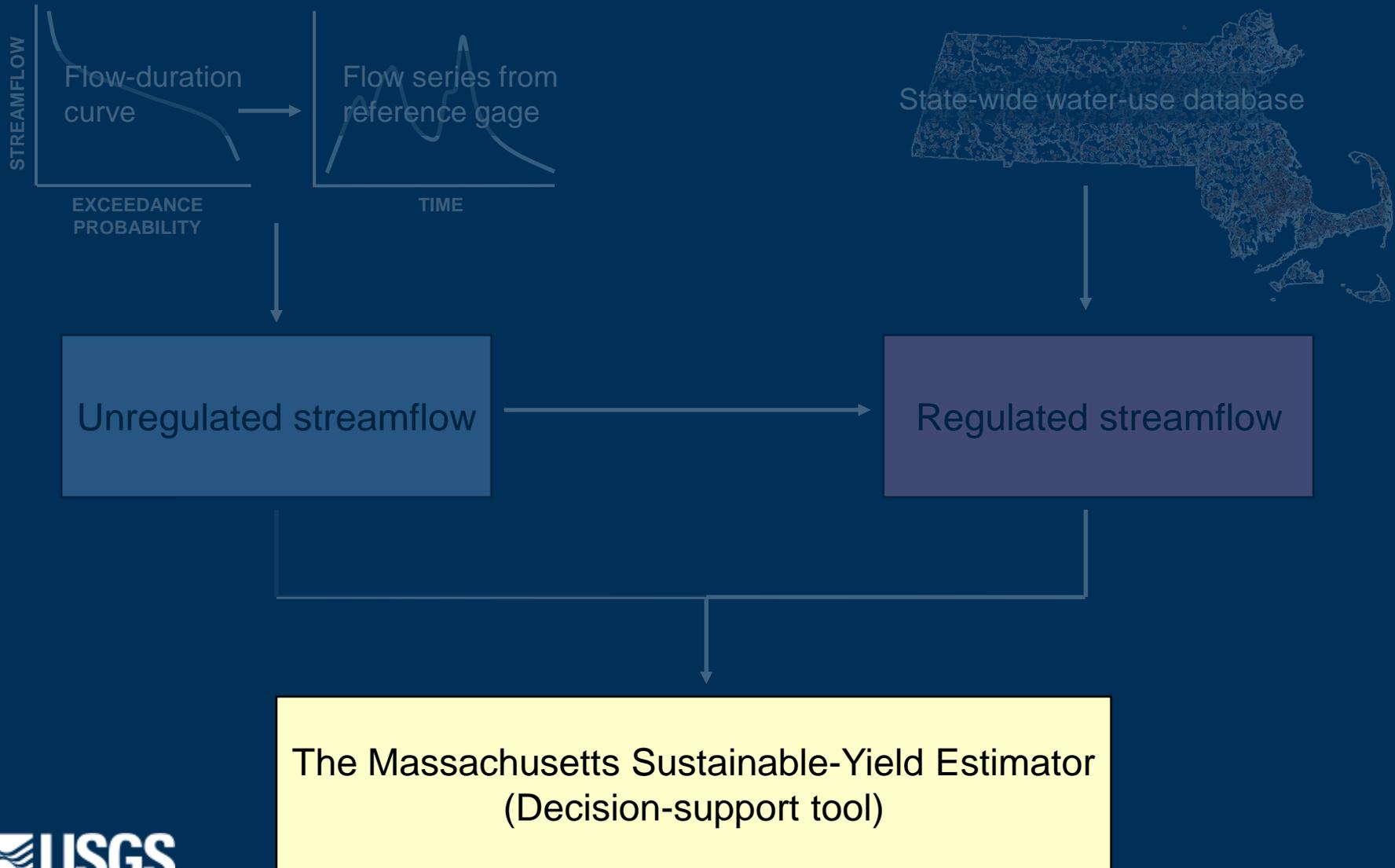
# Estimating regulated streamflow



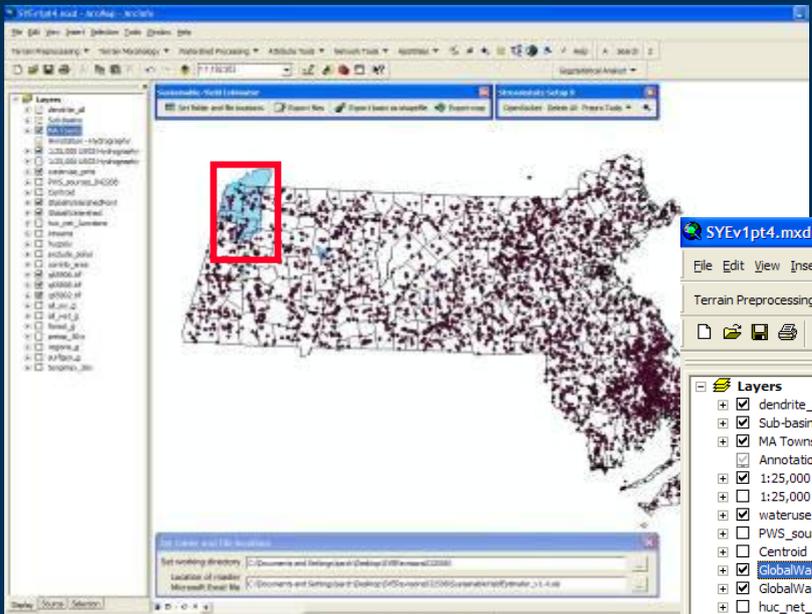
$$\begin{aligned} \text{Regulated streamflow}_t &= \text{Unregulated streamflow}_t \\ &\quad - \Sigma (\text{Surface-water withdrawals}_t) \\ &\quad - \Sigma (\text{Ground-water withdrawals}_t) \\ &\quad + \Sigma (\text{Ground-water discharges}_t) \\ &\quad + \Sigma (\text{Return flows}_t) \end{aligned}$$



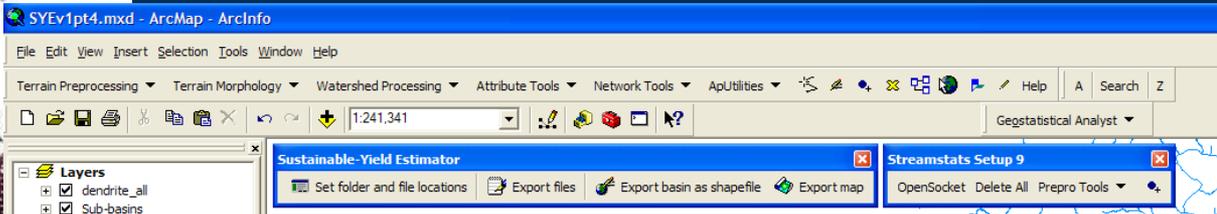
# The Massachusetts Sustainable-Yield Estimator



# Point-and-click GIS user-interface

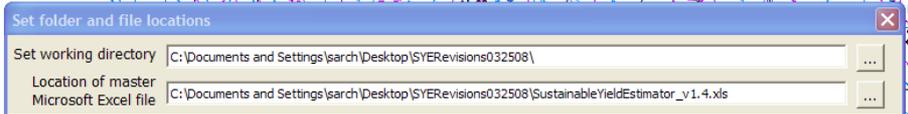


Users begin by opening an ESRI ArcMap document and locating the stream of interest.

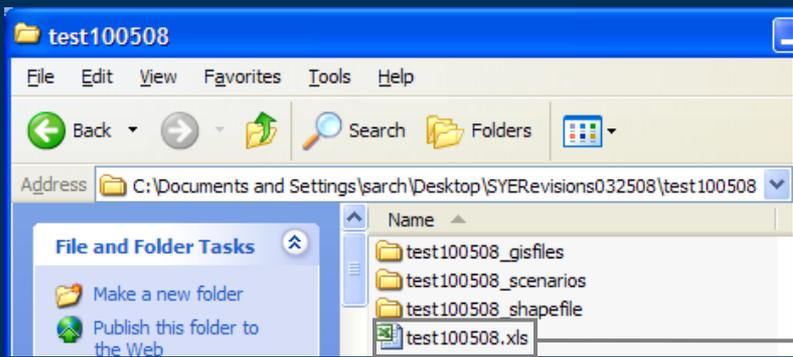


Users also have the option to export a map and shapefile of the study area.

The user clicks on the stream location to delineate an on-the-fly watershed, compute basin characteristics, and query the water-use database for points within the watershed.

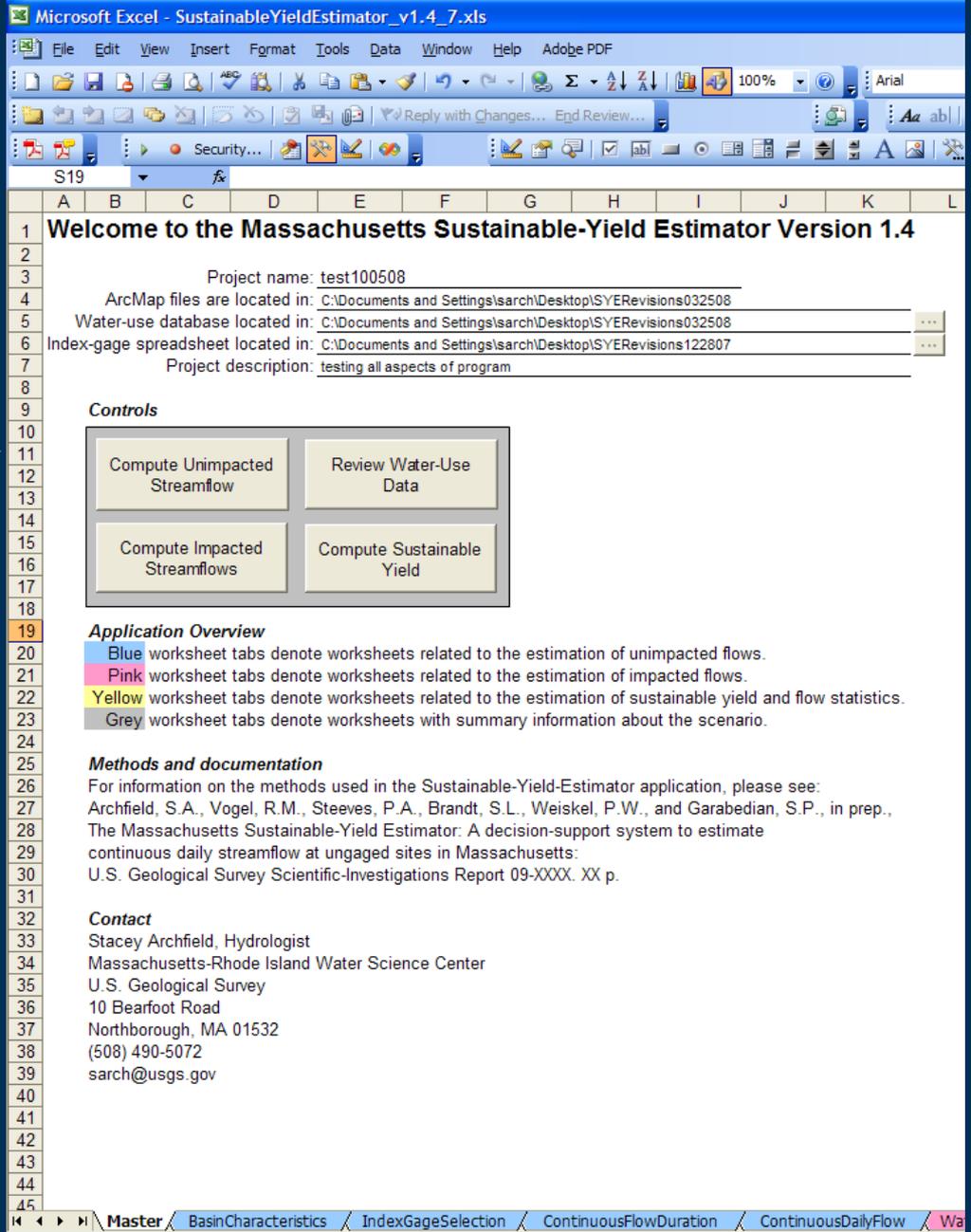


# Navigating the tool



All relevant data is stored in a user-specified location and project folder.

A master Microsoft Excel file guides the user through the post-processing, graphing and reporting of the results.



# Results

Results are summarized for the user in a printable 2-page format.

Microsoft Excel - Sustainable-Yield Estimator\_v1.4\_7.xls

File Edit View Insert Format Tools Data Window Help Adobe PDF

75% Arial 9 B I U

Security...

Q40

**Massachusetts Sustainable Yield Estimator (SYE)**

Summary Report by: [redacted]  
Date: 10/6/2008 16:03

Click point (State Plane meters): x = 104394.999983, y = 909234.99997 *User can edit cells highlighted yellow.*

Town: [redacted]

DEP Major Watershed: [redacted]

Project Name: testingagain2  
Description: testing all aspects of program

Period of unimpacted flow selected: 1961 to 2002

Water-use types:	Count:	Septic-system return flow:	
PWS sources included	25 / 28	Value	10
WMA sources (non-PWS) included	1 / 1	Units	percent
NPDES included	0 / 1		
GW/D included	2 / 2		
Total water-use points included	28 / 32		

Index-gage site name: 01175670 Sevenmile River near Spencer, MA  
Distance between gages (mi.): 36.98

Basin characteristics	Index-gage site	Unengaged site	Unit	Percent difference
Drainage area	8.80	8.60	miles squared	2.34
Mean basin elevation	873.00	915.40	feet	4.74
Average annual precipitation	48.19	49.68	inches	3.04
Open water	2.33	1.64	percent of basin	34.71
Maximum monthly temperature	13.50	13.59	degrees Celsius	0.67
Wetlands	8.16	2.29	percent of basin	112.28
Sand and gravel deposits	12.65	12.92	percent of basin	2.15

Streamflow statistics at unengaged site:	Unimpacted streamflow		Impacted streamflow	
	cfs	cfsm	cfs	cfsm
Monthly average for JAN	22.15	2.58	20.93	2.43
Monthly average for FEB	20.32	2.36	18.96	2.21
Monthly average for MAR	41.92	4.88	40.37	4.70
Monthly average for APR	41.92	4.88	40.43	4.70
Monthly average for MAY	19.00	2.21	17.59	2.05
Monthly average for JUN	14.14	1.65	12.82	1.49
Monthly average for JUL	4.78	0.56	3.67	0.43
Monthly average for AUG	4.14	0.48	3.17	0.37
Monthly average for SEP	4.02	0.47	3.11	0.36
Monthly average for OCT	8.41	0.98	7.35	0.85
Monthly average for NOV	11.65	1.36	10.54	1.23
Monthly average for DEC	19.01	2.21	17.89	2.08
August median	1.94	0.23	0.85	0.10
Aquatic-Baseflow (ABF) August median	74.38	8.65	43.35	5.04
7-day minimum	2.79	0.32	0.00	0.00

Comments:

For more information on the methods used in the Sustainable-Yield Estimator application, please see:  
 Archfield, S.A., Vogel, R.M., Steeves, P.A., Brandt, S.L., Weiskel, P.V., and Garabedian, S.P., 2009.  
 The Massachusetts Sustainable-Yield Estimator: A decision-support system to estimate continuous daily streamflow at unengaged sites in Massachusetts: U.S. Geological Survey Scientific-Investigations  
 Report 2009-XXXX, X p. Available at: <http://>

Contact:  
 Stacey Archfield, Hydrologist      sach@usgs.gov  
 USGS Massachusetts-Rhode Island Water Science Center, Northborough, MA

Figure 1. Flow-duration curves in cubic feet per second.

Figure 2. Flow-duration curves in cubic feet per second per mile.

Figure 3. Hydrographs in cubic feet per second.

Figure 4. Hydrographs in cubic feet per second per mile.

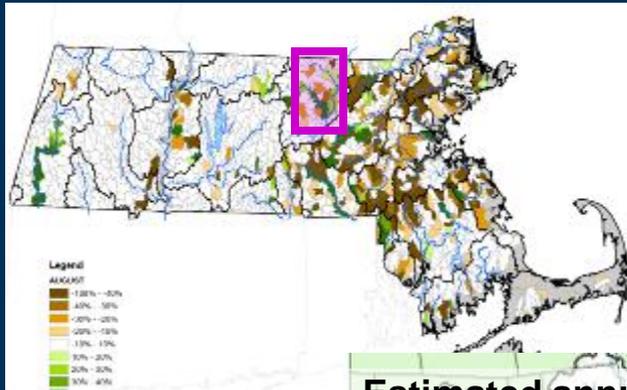
IndexGageSelection / ContinuousFlowDuration / ContinuousDailyFlow / WaterUsePoints / ComputeSustainableYield / Report / FlowsForSustainab

Draw AutoShapes

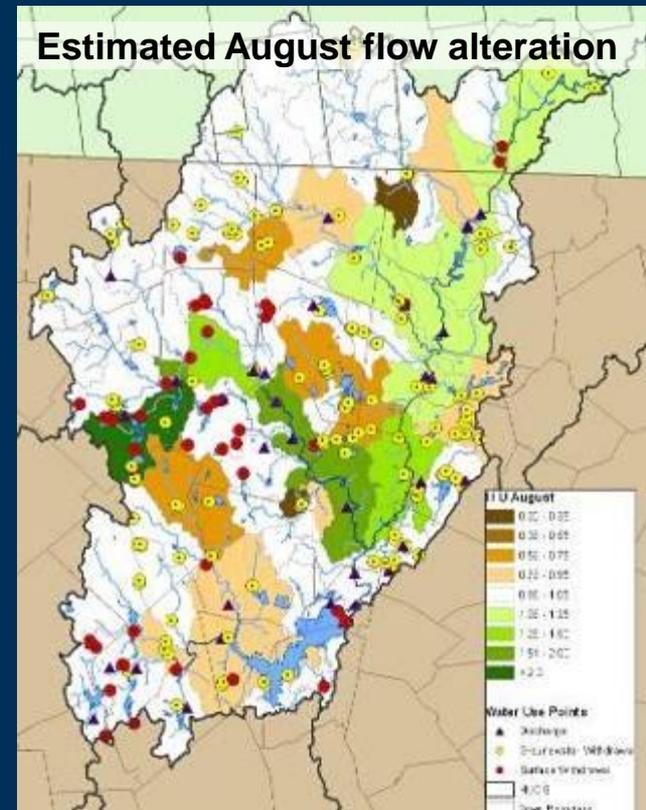
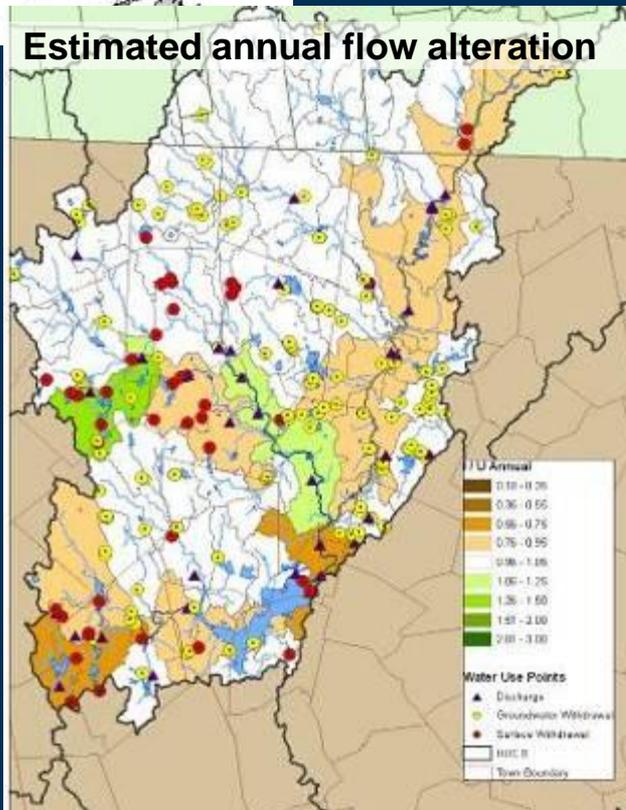
Ready



# Application: Mapping streamflow alteration and water availability

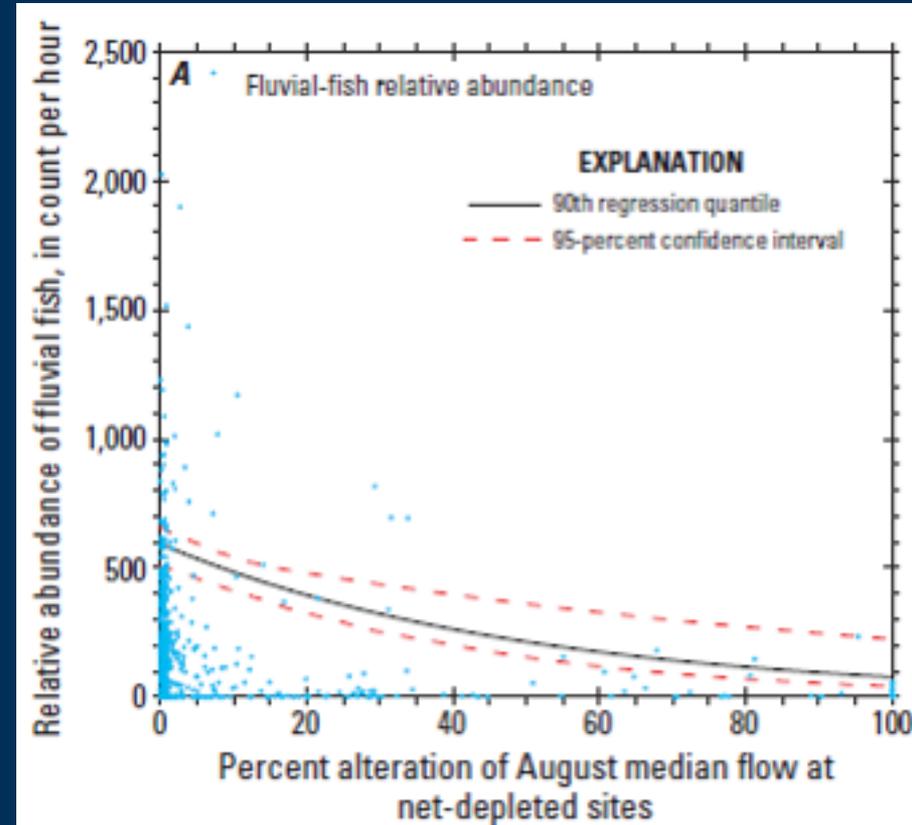
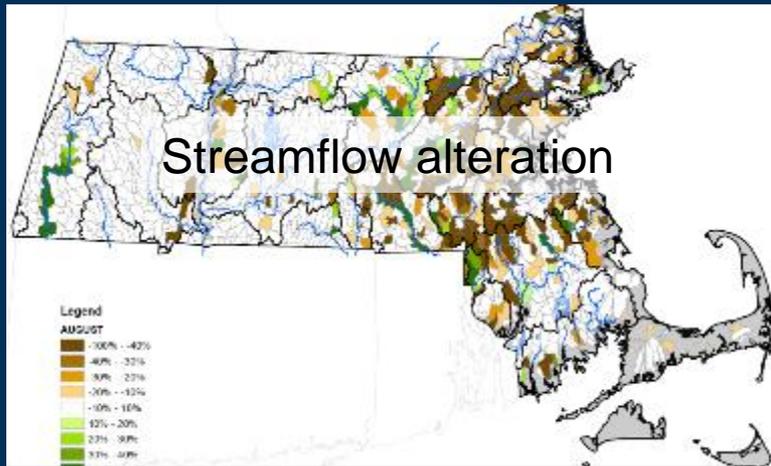
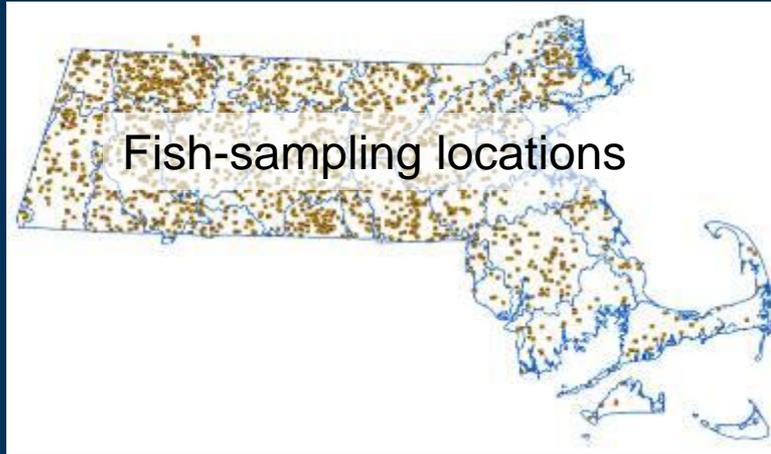


Weiskel, P.K., Brandt, S.L., DeSimone, L.A., Ostiguy, L.J., and Archfield, S.A., 2010, Indicators of streamflow alteration, habitat fragmentation, impervious cover, and water quality for Massachusetts stream basins, USGS Scientific Investigations Report, 2009-5272



# Application: *Relating streamflow alteration to fish data*

Armstrong, D.S., Richards, T.A., and Brandt, S.L., 2010, Preliminary assessment of factors influencing riverine fish communities in Massachusetts: U.S. Geological Survey Open-File Report 2010-1139, 43 p.

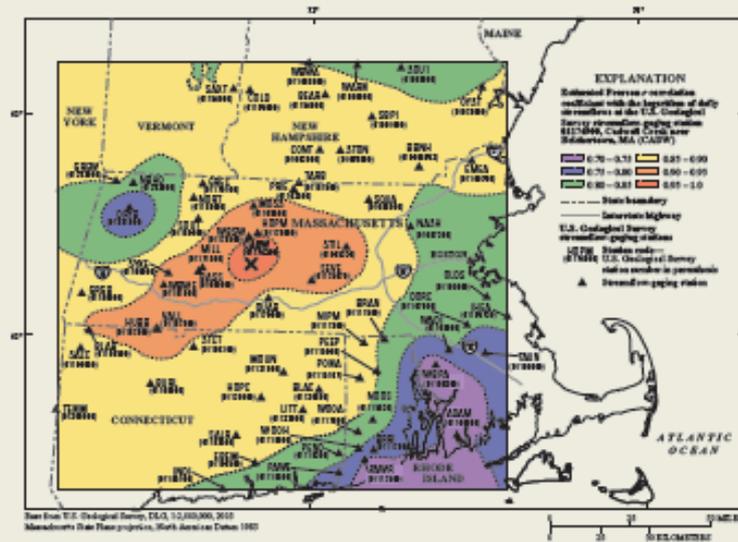


# Application: *Regional daily, unregulated streamflows*



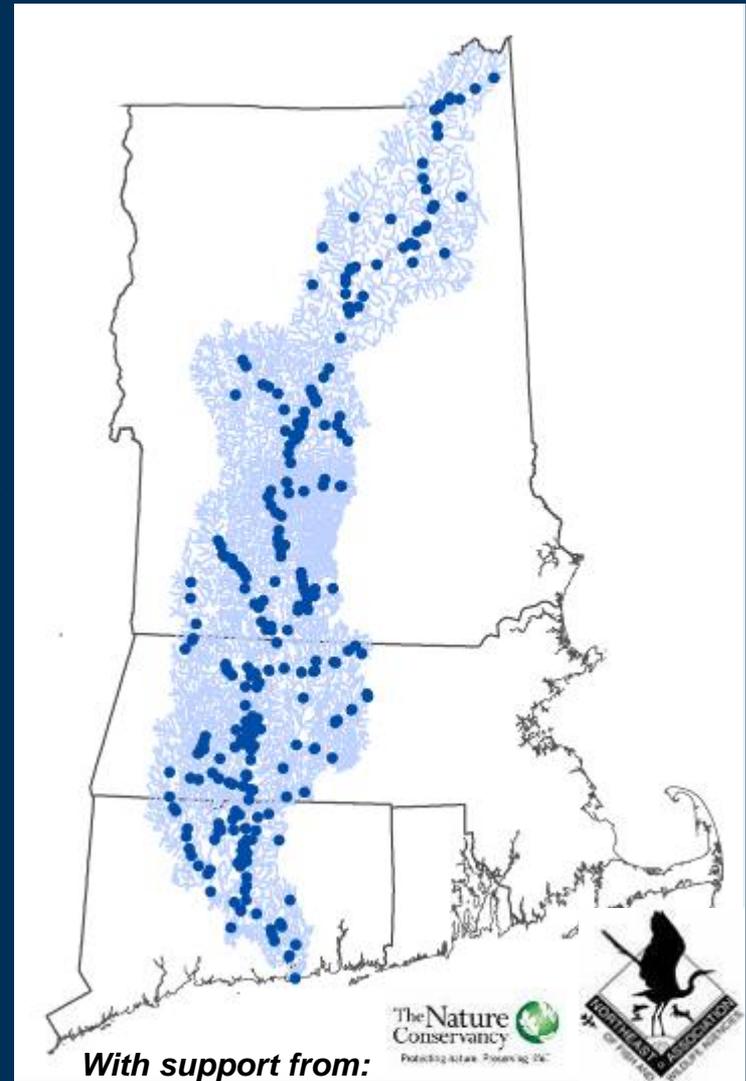
Prepared in cooperation with the  
Massachusetts Department of Environmental Protection

## The Massachusetts Sustainable-Yield Estimator: A decision-support tool to assess water availability at ungaged stream locations in Massachusetts



Scientific Investigations Report 2009-5227

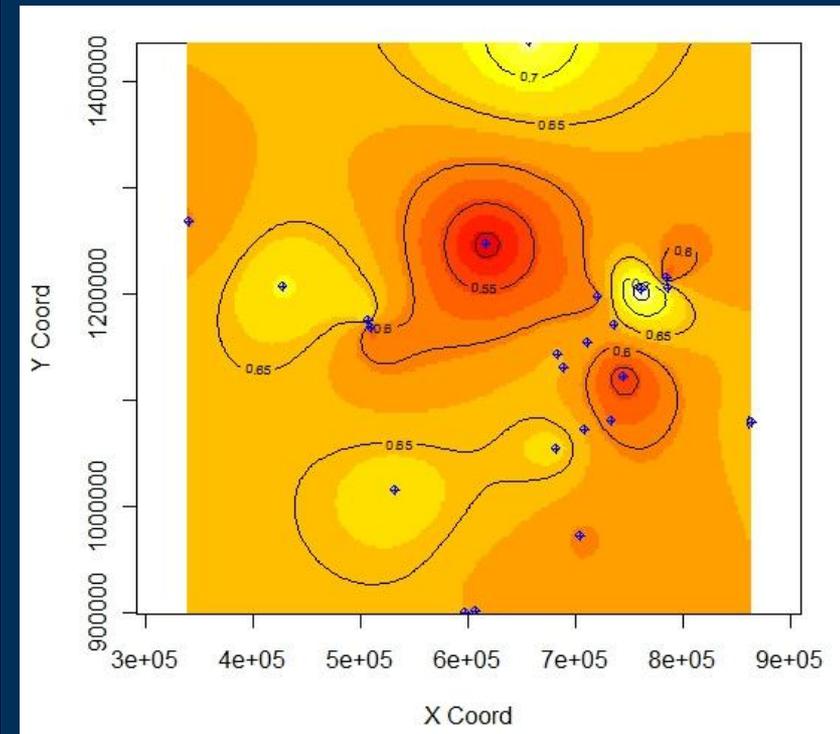
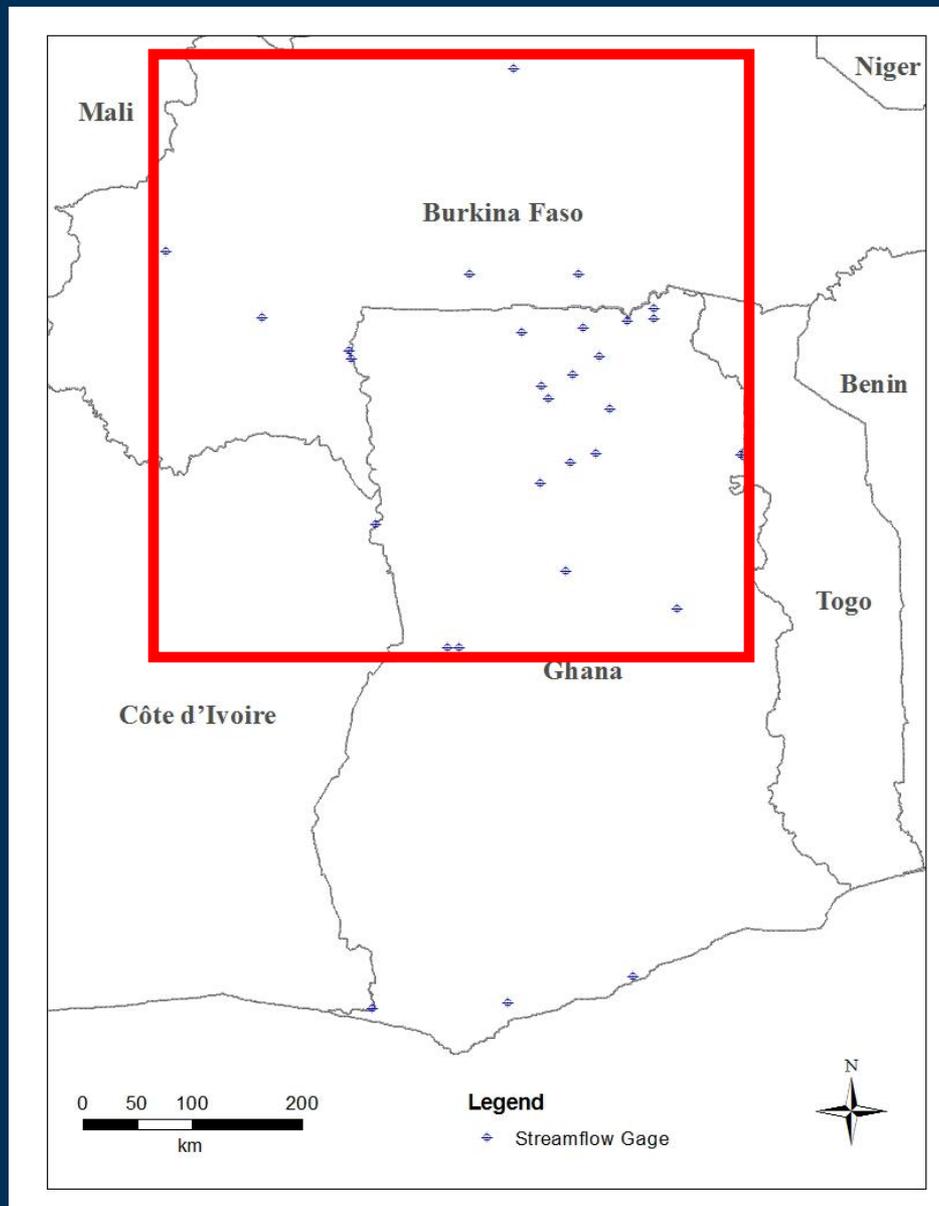
U.S. Department of the Interior  
U.S. Geological Survey



With support from:



# Application: *Use of map correlation in Ghana, West Africa*



# Map correlation: Limitations and future research

- The map-correlation method has potential application to many hydrologic problems, including: calibration of hydrologic models, evaluation of streamgauge networks and catchment classification
- Correlation can also provide an uncertainty measure for the estimated streamflows; distance does not.
- The density of the streamgauge network and period of record used to estimate correlation have not yet been evaluated, although in the limit (few streamgages with short non-coincident periods of record), this would surely pose a severe limit on the applicability of the method.
- The method has only been tested in a few study areas; future work is examining the utility of map correlation for other study areas

# Contact Information

Stacey Archfield

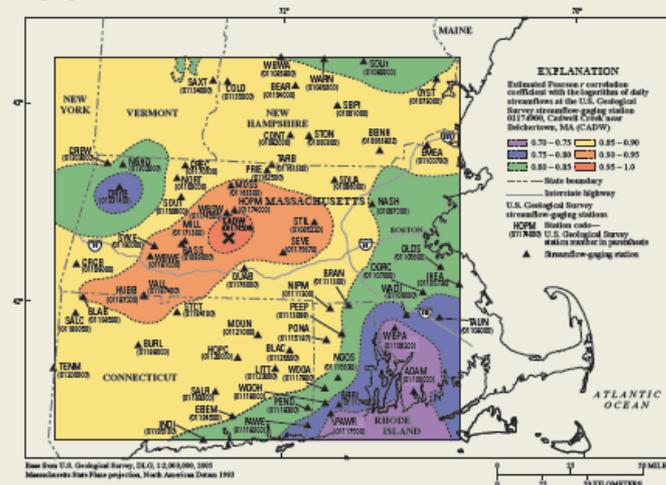
Massachusetts-Rhode Island WSC

sarch@usgs.gov (508) 490-5072



Prepared in cooperation with the  
Massachusetts Department of Environmental Protection

**The Massachusetts Sustainable-Yield Estimator:  
A decision-support tool to assess water availability at  
ungaged stream locations in Massachusetts**



Scientific Investigations Report 2009-5227

U.S. Department of the Interior  
U.S. Geological Survey

## WATER RESOURCES RESEARCH

**Map correlation method: Selection of a reference streamgage  
to estimate daily streamflow at ungaged catchments**

S. A. Archfield<sup>1</sup> and R. M. Vogel<sup>2</sup>

Received 11 August 2009; revised 26 March 2010; accepted 24 May 2010; published 9 October 2010.

PUBLISHED BY AMERICAN GEOPHYSICAL UNION